Impact of black carbon on climate: Interaction of soot containing heggen, particles with clouds

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### The global mean radiative forcing of the climate system



for the year 2000, relative to 1750

Source: <u>www.ipcc.ch</u>, 3rd assessment repot (2001)



# **Aerosol direct and indirect effects**



#### **Radiative forcing:**

adapted from IPCC 2007

http://www.nei.ch/lac



#### Pathways of the Traditional Warm Indirect Aerosol Effect



From U. Lohmann, GRL, 2002

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http://www.psi.ch/lac



### Jungfraujoch 3580 m a.s.l.



- GAW station
- Few local emissions
- Good infrastructure
- Free troposphere
- Aged aerosol
- 40% cloud occurrence





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#### **CLACE** instrumentation



#### BC measurements:

- > MAAP = Multi Angle Absorption Photometer
- PSAP = Particle Soot Absorption Photometer
- Aethalometer

Chemical composition measurements:

AMS = Aerosol Mass Spectrometer

#### Cloud microphysics:

- PVM = Particulate Volume Monitor
- CPI = Cloud Particle Imager

#### Size distribution:

SMPS = Scanning Mobility Particle Sizers

http://www.psi.ch/lac

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## **Cloud Microphysics**

K. Bower, M. Flynn, Uni Manchester





CPI: images of cloud particles



PVM: liquid water content (LWC)



Combining these permit to calculate the ice mass fraction (IMF)



# Average size spectra during a liquid cloud







# Average size spectra during a liquid cloud





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http://www.psi.ch/lac



# Scavenging of Black Carbon in a liquid cloud



= Fraction of BC mass that is incorporated into a cloud droplet or an ice crystal



# Scavenging of Black Carbon Aging effect

	Sampling site	F <sub>scav,BC</sub>	Type of site	Reference
	Po Valley (Italy)	0.06	Urban	Hallberg et al. (1992)
	Kleiner Feldberg (Germany)	0.15	Rural	Hallberg et al. (1994)
	Puy de Dôme (France)	0.33	Mid altitude (1465m)	Sellegri et al. (2003)
	Mt Sonnblick (Austria)	0.45	High altitude (3106m)	Kasper-Gielb et al. (2000)
	Rax (Austria)	0.54	Mid altitude (1644m)	Hitzenberger et al. (2001)
	Great Dun Fell (U.K.)	0.57	Rural - Coastal	Gieray et al. (1997)
	Jungfraujoch (Switzerland)	0.61	High altitude (3850m)	Cozic et al. (2007)
	Mt Sonnblick (Austria)	0.74	High altitude (3106m)	Hitzenberger et al. (2000)
	Spitzbergen (Norway)	0.80	Artic	Heintzenberg and Leck (1994)

Close to sources

Far from sources

#### Cozic J. et al., ACP, 7, 1797–1807, 2007

probability distribution



#### Atmospheric aging processes change the mixing state important for e.g. modeling the radiative forcing of black carbon





# Scavenging of Black Carbon in a mixed phase cloud



### Scavenged fraction < 10%

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### Scavenged BC fraction evolution with temperature (based on 581 hours of in-cloud measurements)



Cozic J. et al., ACP, 7, 1797–1807, 2007



# BC is activated as the bulk aerosol

Based on more than 170 hour of in-cloud measurements



Cozic J. et al., ACP, 7, 1797–1807, 2007

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### **Evolution of particles in cloud: Bergeron-Findeisen process**





Laboratory (dry aerosol)

Ammonium

**Organics** 

**Nitrate** 

Sulphate

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 $\succ$  Ice residuals mainly consisted of BC and refractory material (mineral dust,...)

# Summary

The formation of ice inside supercooled clouds is very important and poorly understood.

The partitioning of aerosol particles between the interstitial and cloud phase strongly depends on ice mass fraction and on temperature

Only a few particles (< 1% in terms of number) act as ice nuclei in our atmosphere

The accumulation mode aerosol is internally mixed. Therefore, in liquid clouds, BC is scavenged as the bulk aerosol But: In mixed-phase cloud, BC is enriched in the ice phase Ice residuals mainly consist of BC and refractory material (mineral dust)

The interaction of aerosol particles with the ice phase has to be incorporated into global climate models (effect on the radiative balance)

# Thank you for your attention



#### The global mean radiative forcing of the climate system





# **Ice crystal formation**

- deposition freezing
- condensation freezing
- contact freezing
- immersion freezing
- evaporative freezing



CCNIN



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Iarge particles are preferred to serve as IN: ratio IN / total aerosol particles: 1/1000 (submicron) 1/10 (supermicron)

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### ESEM analysis of single particles sampled downstream of Ice-CVI and interstitial inlet

Courtesy of M. Ebert, M. Inerle-Hof TU Darmstadt





Carbonaceous material and silicates are enriched in ice residual

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# HR-ToF-AMS:

### Partitioning of Oxygenated Organic Aerosol (OOA) and Hydrocarbon-like Organic Aerosol (HOA):

#### Courtesy of J. Schneider MPI Mainz



> Enrichment of hydrocarbon-like organic aerosol (HOA) in ice residuals.



# Operating principle of Ice-CVI S. Mertes, IfT Leipzig



<u>*Omni-directional inlet*</u> removes precipitation particles > 50 µm

<u>Virtual Impactor</u> removes cloud particles > 20 μm

<u>Pre Impactor</u>

removes supercooled drops > 5  $\mu$ m

<u>Counterflow Virtual Impactor</u> removes interstitial particles < 5 µm

> sampling residual particles (ice nuclei) of small ice crystals (5-20 µm diameter)



# Abundances and properties of CCN and IN

### • CCN:

10-50% (@ SS= 0.2-0.3%), depending on particle size (D >  $\sim$ 60 nm) and hygroscopic properties

### • IN:

<~1%,

typically insoluble and large particles (bacteria, leaf litter, pollen, mineral dust components, soot)

#### MALL ACHERRER IPANIL SCHERRER INSTITUT

### Conclusions

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- Aging processes result in coating of BC with soluble components
  - ✓ Internal mixture of JFJ aerosol
  - ✓ Influence on hygroscopic properties of soot particles
- In liquid clouds
  - $\checkmark~$  BC is incorporated into cloud droplets as bulk aerosol
  - ✓ 60% of BC mass is incorporated into cloud droplets and ice crystals (wet deposition of BC increases)
- In mixed-phase clouds
  - ✓ Incorporation of BC is considerably lower (Bergeron-Findeisen process)
  - ✓ BC is enriched by 20% in the ice phase (influence on cloud optical properties)
  - ✓ Ice nuclei mainly consist of BC and refractory material
- Summary:
  - Incorporation of BC into cloud droplets and ice crystals for an aged aerosol
    - ✓ Increases the wet deposition of BC (influence on lifetime of soot particles)
    - Influence the optical properties of cloud by possibly increasing the number of CCN and by acting as IN

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### **H-TDMA & Modelling data**



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## Jungfraujoch, 3580 m a.s.l.



- GAW station
- Few local emissions
- Good infrastructure
- Free troposphere
- Aged aerosol
- 37% cloud occurrence









### **Current GAW aerosol instrumentation on the Jungfraujoch:**



Jan 1995 1996 1997 1998 1999 2000 2001 2002 2003 2004 2005 2006 2007



# **Objectives of CLACE**

CLACE = <u>Cl</u>oud and <u>Aerosol</u> <u>Characterization</u> <u>Experiments</u>

- Physical and chemical characterization of aerosol particles in general and of CCN and IN in particular
- Quantification of aerosol partitioning in mixed-phase clouds



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# BC scavenging versus bulk volume scavenging

#### Cozic J. et al., ACP, 7, 1797-1807, 2007



BC mass is scavenged as total volume aerosol

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# **CLACE Experiments**

Typically last about 5 weeks, mainly in winter (February/March)





# **Objectives of CLACE**

CLACE = <u>Cl</u>oud and <u>Aerosol</u> <u>Characterization</u> <u>Experiments</u>

Physical and chemical characterization of aerosol particles in general and of CCN and IN in particular

Quantification of aerosol partitioning in mixed-phase clouds




### Typical humidograms during Summer (at $T = 0^{\circ}$ C) and Winter ( $T = -10^{\circ}$ C)



- > Continuous increase of  $d/d_o$  as function of RH
- no distinct efflorescence or deliquescence behaviour
- > particles are at least partially liquid over a broad RH range





The growth factor measured with the HTDMA agrees with the chemistry measured with the AMS



### **Objectives of CLACE**

CLACE = <u>Cl</u>oud and <u>Aerosol</u> <u>Characterization</u> <u>Experiments</u>

- Physical and chemical characterization of aerosol particles in general and of CCN and IN in particular
- Quantification of aerosol partitioning in mixed-phase clouds





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Size distributions of cloud particles (FSSP, phase doppler particle analyzer, TSI PDPA)



Images of cloud particles -> ice water content (Cloud particle imager, CPI)



Liquid water content Particulate volume monitor, PVM)



Combining these:

- correcting the PVM for its response to ice
- ice mass fraction (IMF)



## Inlets during CLACE-3 & 4

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- 1 Total
- 2 Interstitial
- 3 Ice-CVI (IFT)
- 4 Cloud microphysics (UMan)



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### **Inlets during CLACE**





#### Estimated critical supersaturation for the Jungfraujoch aerosol



#### LABOR FÜR ATMOSPHÄREN CHEME

### Size resolved activated fractions (for mixed phase clouds)



Diameter (nm)



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### Activated fraction vs. temperature (over 900 hours of in-cloud measurements)



temperature (°C)

Verheggen et al. (2007)



### Activated fraction vs. ice mass fraction (440 hours of in-cloud measurements)



Verheggen et al., submitted to JGR

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### Operating principle of Ice-CVI S. Mertes, IfT Leipzig



*Virtual Impactor* removes particles > 30 µm diameter

*Pre Impactor* removes liquid droplets > 5 μm large ice crystals small ice particles supercooled droplets interstitial aerosol

small ice particles supercooled droplets interstitial aerosol

small ice particles interstitial aerosol

*Counterflow Virtual Impactor* removes particles < 5 µm diameter

small ice particles

> sampling residual particles (ice nuclei?) of small ice crystals (5-30 µm diameter)



### ICE CVI: ice particle sampling efficiency





CPI, irregular + spheroid habit (15-25 µm)

Ice-CVI

CPI, irregular habit only



#### Ulrike Lohmann's ECHAM4 general circulation model (GCM)

440 hours of non-precipitating, in-cloud observations during winter used **as input for the freezing parameterization** in the ECHAM4 general circulation model. Used as input into ECHAM4 GCM to predict  $N_{ice}$  as  $f(N_{TOT}, IMF)$ 



ice mass fraction

- Model inputs are spatially and chemically resolved aerosol size distributions
- Model treats: transport, chemical transformation of aerosols and precursors, dry, and wet deposition, …
- Includes a fully coupled aerosol-cloud microphysics module (mixed phase clouds)



#### Comparison AMS-SMPS behind the different inlets...







Aging processes in the atmosphere result in significantly higher growth factors at the Jungfraujoch than in Milano; the less hygroscopic mode nearly disappears.



## **Ice crystal formation**

We Know Atmospheric Ice Formation is Important...





## Ice formation is important

- No with the second seco
- The formation of ice inside supercooled clouds is poorly understood
- Ice formation is important because the majority of precipitation on Earth is initiated via the ice phase
- Ice formation also influences

cloud dynamics, latent heat release, chemical processes, particle scavenging, and cloud radiation properties

 Only a few particles (< 1 % in terms of number) act as ice nuclei in our atmosphere

**Questions:** 

- How does ice formation occur?
- Which particles act as ice nuclei in our atmosphere?





#### ETH

### **Incorporating JFJ measurements into GCM**

Eidgenössische Technische Hochschule Zürich Swiss Federal Institute of Technology Zurich

		ECHAM- JFJ	ECHAM- CTL
SW radiation TOA	W/m <sup>2</sup>	-0.38	-1.63
LW radiation TOA 👃	W/m <sup>2</sup>	-1.14	0.59
net radiation TOA	W/m <sup>2</sup>	-1.52	-1.04
IWP <b>†</b>	g/m <sup>2</sup>	0.4	0.20
LWP 🔶	g/m <sup>2</sup>	9.52	10.5
Cloud cover	%	-0.77	0.07
Precipitation <b>†</b>	mm/d	-0.007	-0.051

CTL: N<sub>ice</sub> depends on temperature; *not* on N<sub>aer</sub>

JFJ: N<sub>ice</sub> depends on ice mass fraction and on N<sub>aer</sub>

JFJ parameterization leads to an enhanced ice phase in the model, which results in a smaller shortwave aerosol indirect effect by increasing precipitation.



## Vertical wind speed vs. temperature



**Temperature °C** 



### Scavenged BC fraction vs. vertical wind speed

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### **Empirical correction for the PVM response to presence of ice crystals**





# Intercomparison between FSSP and CPI in the overlapping size range 5 < D < 45 $\mu$ m in a mixed-phase cloud



From Connolly et al. (2006)



# Comparison of hydrometeor size distributions from CPI, FSSP and the 2D probe (2DG) in mixed phase clouds



CPIIcc and CPImIcc are the CPI spectra corrected with the procedure described above. In the mIcc calculation one assumes that images are taken at the maximum possible frame rate. The other (Icc) uses the actual frame rate, which is the correct thing to do. **The lower two curves (CPIdt and CPIts) were obtained using the manufacturers basic software which do not account for sample volume and depth of field corrections.** dt means the concentration scaled by deadtime (no other corrections have been applied). ts means the size distribution that is measured by the CPI is scaled so the total concentration is equal to the total strobes.



### **FSSP Spectra averaged over ice mass fraction**



Ice mass fraction





### Ice mass fraction vs. temperature



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#### **Cloud Particle Imager (CPI) Data**



The hydrometeors are classified into the following habits:

Droplets	Ice cry <mark>stals</mark>		
Spheroid (sph)	Columns (col) Big Irregular (bir) Stellar (stl)	Dendrite (den) Graupel (gpl) Small Irregular (sir)	

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### Comparison mass size distribution SMPS and AMS:



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### Activated fraction vs. temperature and LWC



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http://www.psi.ch/lac



## Activated fraction vs. ice mass fraction





ice mass fraction

Verheggen et al. (2007)

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### Activated vs. total particle number (d<sub>p</sub>>100 nm)



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#### Activated vs. total particle number (d<sub>p</sub>>100 nm)





#### Comparison of the BC mass fraction in the ice residual phase with the mass fraction in the bulk aerosol phase in cloud (23 hours) (a), out of cloud (CVI operating as a regular inlet without counterflow) (62 hours) (b)



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#### On-line chemistry data with an Aerodyne Aerosol Mass Spectrometer





# Number and surface area size distributions of the Jungfraujoch aerosol; seasonal averages



Weingartner et al., JGR (1999)

http://www.psi.ch/lac







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Growth factor  $d/d_o$ 

Dry monodisperse particles ( $d_0 = 100$  nm, dashed line) were exposed to RH = 90% (T=20°C).
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### Deliquescence relative humidity (DRH) and water content at DRH for various substances



Marcolli et al. (2004), J. Phys. Chem

http://www.psi.ch/lac



### Zdanovskii-Stokes-Robinson relation (ZSR) Chen et al., 1973



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# Case Study I: Liquid cloud event

March 30, 2004



T<sub>avg</sub> = -10.6 °C

http://www.psi.ch/lac



## Size spectra are different in a mainly glaciated cloud

Ice mass fraction > 0.5; activated fraction < 0.1





# Between -5 and -20°C a significant amount of clouds contain supercooled droplets and ice crystals



**Pruppacher and Klett (1997)** 

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# High-Resolution Time-of-Flight AMS<sup>(1)</sup>





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### Average size distributions (4 hours in-cloud)



# Model input from a compilation of lab data

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Montmorillonite (MON) and Kaolinite (KAO) as model substances for mineral dust



Adapted from Lohmann und Diehl (2006), JAS

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# Model results: zonal annual means

- Green: ECHAM4 Simulation with JFJ data
  - **Red:** Standard simulation that considered freezing of dust and black carbon
- **Black:** "Validation" with observations deduced from satellite measurements





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# Ice nuclei chemical composition

IAX-PLANCK-GESELLSCHAFT

### Ice residual particles

**Total aerosol** 



> Ice residuals mainly consisted of BC and refractory material (mineral dust,...)

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## Case study II: Mixed phase cloud



time

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Instrumentation downstream the ICE-CVI inlet during CLACE-3



#### http://www.psi.ch/lac



### **Chemical Composition (PM1) at the JFJ**

Grand average, from AMS and Aethalometer



### Summer 2002 (CLACE-2)

Winter 2004 (CLACE-3)

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# Chemical composition of the Jungfraujoch aerosol during summer

#### Analyzed offline with IC, solid phase extraction combined with TOC Analyzer Summer 1998, PM2.5, 8 High Vol Samples



Water soluble components of the Jungfraujoch aerosol





1-day sample every 6th day, 2 size cuts, teflon and nylon filter, anions and cations: 365 analyses per year Henning et al., J. Geophys. Res. (2003)



Fine aerosol fraction (annual mean)

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# Evolution of the planetary boundary layer during a summer day in July 1997 over the Jungfraujoch massif





Nyeki et al., Geophys. Res. Lett., 27, 689-692, 2000

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Saharan Dust Event

Collaud Coen et al., ACP 2004

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http://www.psi.ch/lac



Statistical analysis of more than 600 hour of in-cloud observations... of activated number:





## **On-line data from the JFJ**

http://aerosolforschung.web.psi.ch/



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# Evolution of the planetary boundary layer during a summer day in July 1997 over the Jungfraujoch massif





Nyeki et al., Geophys. Res. Lett., 27, 689-692, 2000

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### Long-term trend calculations by Martine Collaud Coen (MeteoSwiss)

10 years of data are necessary



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Saharan Dust Event

Collaud Coen et al., ACP 2004

# Model input from a compilation of lab data

E

Montmorillonite (MON) and Kaolinite (KAO) as model substances for mineral dust



Adapted from Lohmann und Diehl (2006), JAS

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# Model results: zonal annual means

- Green: ECHAM4 Simulation with JFJ data
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- **Black:** "Validation" with observations deduced from satellite measurements





### Model calculation for the conditions at the Jung have have

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(polydisperse size distribution and coating including the hygroscopic properties)





# [IN] << [CCN]

- Ice nuclei (IN) are far less abundant in the atmosphere than cloud condensation nuclei (CCN). (Typical CCN and IN concentrations: 100 cm-3 and 0.01 cm-3)
- Hence, in an ice cloud, cloud water is typically distributed on fewer cloud particles than in a liquid cloud.
- Consequently, the ice crystals are larger than the cloud droplets and therefore more likely to fall out as precipitation



## Main requirements for IN (Pruppacher & Klett)

- Insolubility requirement: A rigid substrate is needed for the ice "germ" formation.
- Size requirement: The Aitken range is less efficient than the accumulation mode range.
- Chemical bond requirement: A similar bond as the ice crystal lattice is beneficial.
- Crystallographic requirements. The geometrical arrangement of the aerosol is important.



# In what ways are cold clouds different?

- Ice crystals form by various heterogeneous freezing processes between 0°C and approximately -35°C. This refers to ice crystals forming with the aid of ice nuclei (IN).
- IN are typically insoluble aerosols.
- Homogeneous freezing becomes effective below approximately -35°C. This is the process by which cloud droplets freeze spontaneously without any further aid from aerosols.
- Ice clouds are often optically thin, such that changes in cloud optical depth become important also in the LW



# Homogeneous vs. Heterogeneous Freezing

- At temperatures T < -35°C ice crystals can form through homogeneous freezing of aqueous solution droplets, only if ice supersaturations exceed ~40% (Koop et al., Nature 2000).
- Such supersaturations have been observed in the upper troposphere (*Jensen et al., JGR 2001*).
- However, if there are sufficient IN present, heterogeneous freezing processes are believed to dominate, as they require lower supersaturations.

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# Heterogeneous Freezing



- IN efficiencies depend on material and drop volume
- Efficient IN: bioaerosols, dust, soot



Median freezing temperatures for different IN from lab experiments. Drop radii 250-350 µm. Adapted from *Diehl et al. (subm.)*. 

## **Conclusions**

Atmospheric aging processes lead to an increase in the hygroscopic growth of aerosol particles, this changes the particle's optical properties, their lifetime and is of importance for their radiative climate forcing.

At the Jungfraujoch, in the lower free troposphere:

The aerosol is predominately **internally mixed**, no less hygroscopic mode is visible

No deliquescence behavior was observed (liquid state)

The particles hygroscopicity at the Jungfraujoch is higher in winter than in summer. This is mainly a result of varying fractions of organic/inorganic mass.

In mixed phase clouds the **Bergeron-Findeisen process** determines the aerosol partitioning

- The activation in mixed phase clouds strongly depends on temperature.
- This is valid for particle number, volume and BC content.

Promising results from the **novel ICE-CVI**, sampling ice crystal residuals:

- Comparison of SMPS and AMS data indicates that preferably non volatile particles act as ice nuclei.
- Large residuals (D>500 nm) are dominated by mineral dust particles.

Currently, the observed partitioning in the mixed phase clouds is included into a **general circulation model**.



## Conclusions

- The particles hygroscopicity at the Jungfraujoch is higher in winter than in summer. This is mainly a result of varying fractions of organic/inorganic mass.
- Observational evidence for *Bergeron-Findeisen* process provided:
  - The activation in mixed phase clouds strongly depends on temperature.
  - This is valid for particle number, volume and BC content.
- Promising results from the novel ICE-CVI, sampling ice crystal residuals:
  - Comparison of SMPS and AMS data indicates that preferably non volatile particles act as ice nuclei.
  - Large residuals (D>500 nm) are dominated by mineral dust particles.
- Currently, the observed partitioning in the mixed phase clouds is included into a general circulation model.
- Future collaborations with the collaborative research centre TROPEIS investigating the tropospheric ice phase funded by the German Science Foundation DFG.

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Hygroscopic growth of  $d_o = 100 \text{ nm}$ particles

at T = 0°C RH = 85%



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## Hygroscopic growth factors of isolated organic matter (ISOM) from atmospheric aerosols and selected reference substances



Aerosol sample from K'puzta

Gysel et al., submitted to ACPD