

Disposable filter elements used in underground mining applications

Presented by

Aleksandar Bugarski, Ph.D.

National Institute for Occupational Safety and Health (NIOSH)

Pittsburgh Mining Research Division (PMRD)

20th ETH-Conference on Combustion Generated Aerosols

Zürich, Switzerland

June 13-16, 2016



Occupational exposure to traditional diesel exhaust is linked to acute and chronic health problems.

- In the States, exposure of underground miners to diesel particulate matter is limited by two rules promulgated in 2001:
 - 30 CFR Part 72 - Diesel Particulate Matter Exposure of Underground Coal Miners
 - 30 CFR Part 57 - Diesel Particulate Matter Exposure of Underground Metal and Nonmetal Miners
- In 2012, the IARC (2012) declared diesel engine exhaust as a carcinogen to humans (Group 1).
 - Lung cancer (sufficient evidence);
 - Bladder cancer (limited evidence).
- The IARC decision was partially based on the findings of NCI/NIOSH “The Diesel Exhaust in Miners Study” (Attfield et al. 2012, Silverman et al. 2012).

Reference:

- IARC (2012). IARC: Diesel engine exhaust carcinogenic. Press Release N° 213 . International Agency for Research on Cancer. World Health Organization.
- Attfield M, Schleiff P, Stewart P, et al. (2012). The Diesel Exhaust in Miners Study: A cohort mortality study with emphasis on lung cancer. J Natl Cancer Inst 104:869–883.
- Silverman DT, Samanic C, Lubin JH, et al. (2012). The Diesel Exhaust in Miners Study: A nested case-control study of lung cancer and diesel exhaust. J Natl Cancer Inst 104:855–868.

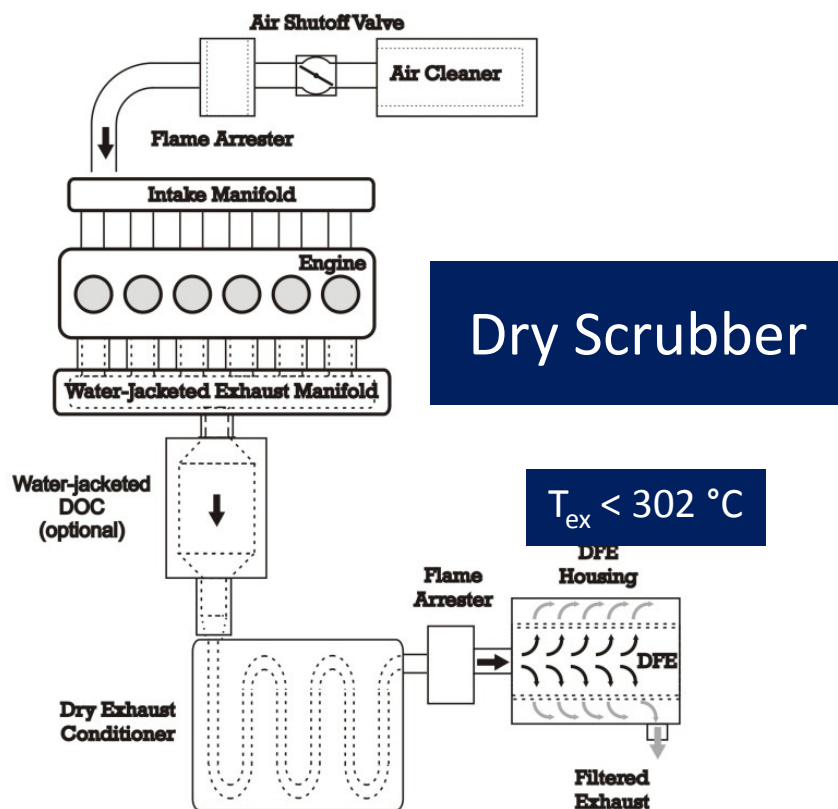


Filtration systems with disposable filter elements (DFEs) are primarily designed to control DPM emissions from heavy-duty diesel power packages intended for use in areas of underground coal and some gassy non-metal mines where permissible equipment is required.

- In the States, the U.S. Mine Safety and Health Administration (MSHA) approves permissible engines and packages:
 - Existing engines (30 CFR Part 7 Subpart F) e.g. 7E-A00*
 - Newly introduced (30 CFR Part 72.500) e.g. 07-EPA0*000*

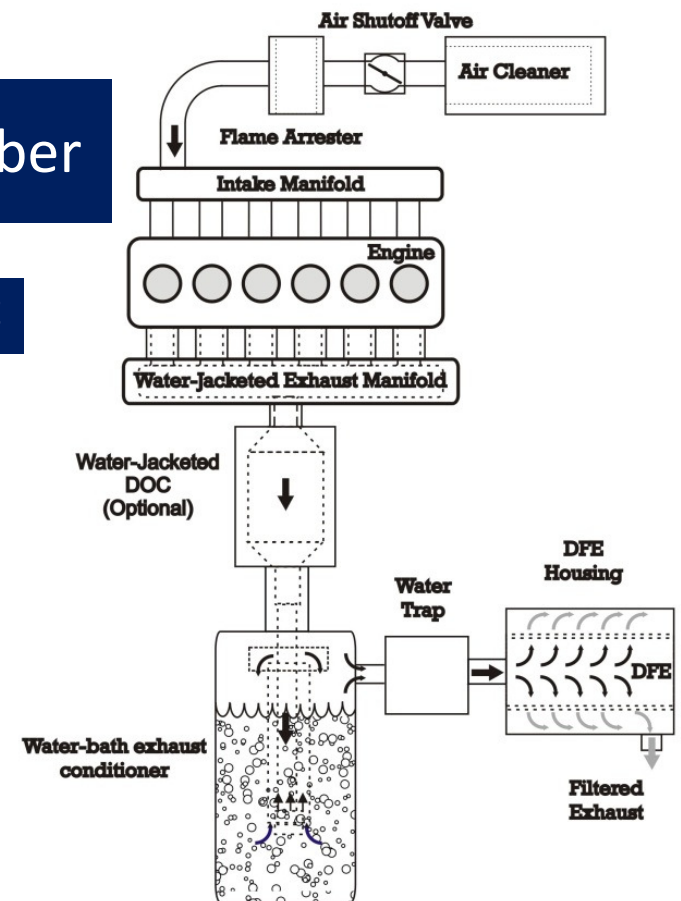


In the States, two types of those systems are currently used in over 300 underground coal mining permissible applications (MSHA 2016).



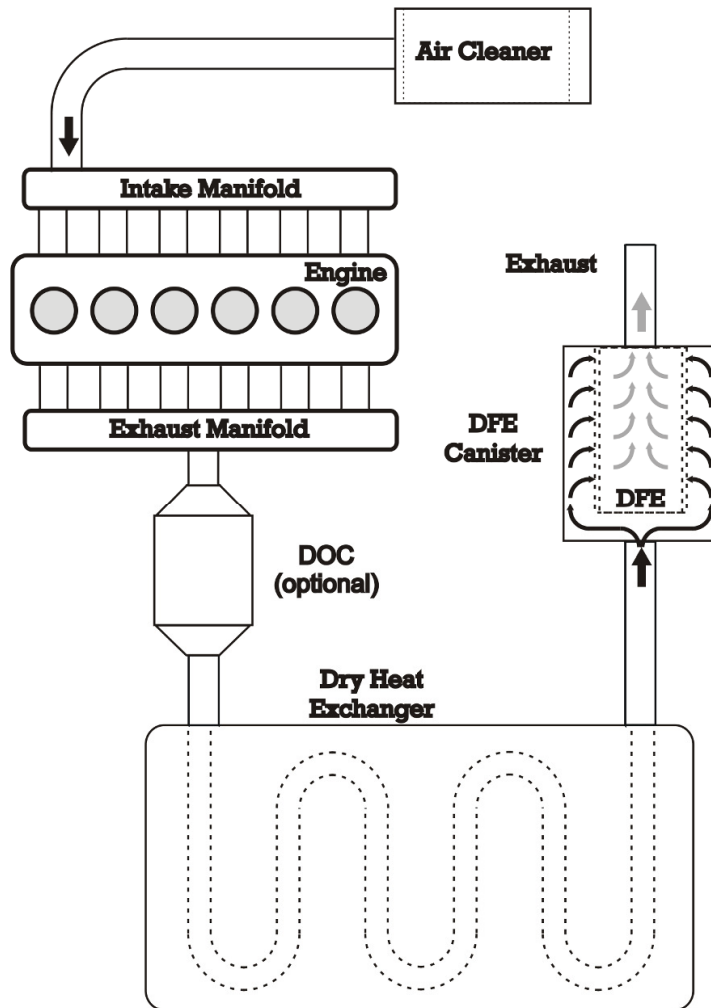
Wet Scrubber

$$T_{ex} < 185\text{ }^{\circ}\text{C}$$



MSHA (2016). MSHA National Inventory.
<https://lakegovprod3.msha.gov/DieselInventory/ViewDieselInventoryExternal.aspx>

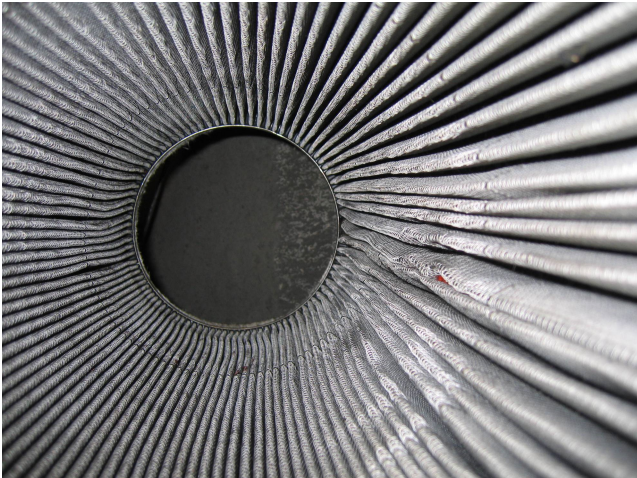
Filtration systems with DFEs are also used in over 900 non-permissible coal mining applications (MSHA 2016).



- Simplified version of permissible systems.
- No surface and exhaust temperature requirements.
- However, dry heat exchangers are used to keep exhaust temperatures below 343 °C (650 °F).
- DFEs are used to control DPM emissions below the 2.5 g/hour- (heavy-duty non-permissible) and 5.0 g/hour- (light-duty non-permissible) standards.

Disposable filter elements (DFEs) are used in those filtration systems to remove particulates from cooled exhaust.

- The elements are made of paper and synthetic materials (polyesters, polypropylene, fiberglass...).
- The pleated DFE cartridges consist of a thin felt or woven mat of fibers supported by mesh.
- Because the fiber media collects soot throughout their depth, the DFEs are classified as deep-bed filters.



MSHA approves DFEs for use in underground mining applications.

- DFEs for low temperature (185 or 302 °F) and high temperature (650 °F) are approved by MSHA following Part 7 testing procedures [MSHA 61 Fed. Reg. 55411 (1996)].
- The actual filtration efficiencies of those low temperature DFEs are not reported, but expressed in terms of the equivalency to the “gold” standard paper DFE [MSHA 2015].
- The efficiencies of two verified high temperature DFE are listed as 83 and 80 % (at 650 °F).

Reference:

- 61 Fed. Reg. 55525 (1996). Mine Safety and Health Administration: 30 CFR Part 36. Approval requirements for permissible mobile diesel-powered transportation equipment. Code of Federal Regulations. Washington, DC: U.S. Government Printing Office, Office of the Federal Register.
- MSHA (2015). Diesel particulate matter (DPM) control technologies. U.S. Department of Labor, Mine Safety and Health Administration. [<http://arlweb.msha.gov/01-995/Coal/DPM-FilterEfflist.pdf>].



Filtration systems with DFEs are the only technology available to reduce DPM emissions from high emitting antiquated engines used in permissible applications (MSHA National Diesel Inventory).

- Relatively large fleet powered by older technology engines.
- Permissibility requirements contribute to complexity:
 - surface temperatures;
 - exhaust temperatures.
- Relatively small market for permissible engines.
- Current decline in coal production in the States and worldwide reduced further demand for those engines.

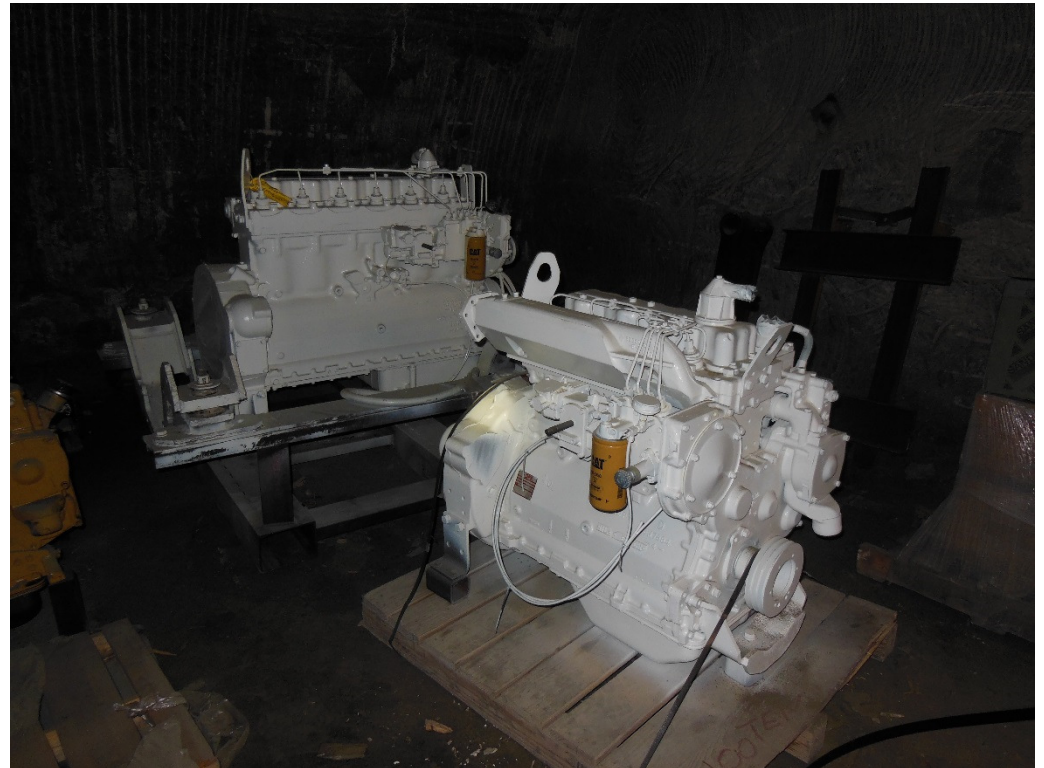


Reference:

- MSHA National Diesel Inventory: <https://lakegovprod3.msha.gov/ReportView.aspx?ReportCategory=AllMineInventory>

Technological advancements in engine and exhaust aftertreatment technologies, driven by technology forcing regulation, resulted in dramatic reductions in PM emissions from non-road engines.

- E.g. U.S. EPA standards [66 Fed Reg. 5001 (2001)] for class of engines with output between 130 and 560 kW (175 and 750 hp):
 - 1996 (Tier 1): PM = 0.54 g/kW-hr (0.40 g/hp-hr);
 - 2003 (Tier 2): PM = 0.20 g/kW-hr (0.15 g/hp-hr);
 - 2006 (Tier 3, never adopted): PM = 0.20 g/kW-hr (0.15 g/hp-hr);
 - 2011-2014 (Tier 4i and Tier 4f): = 0.02 g/kW-hr (0.01 g/hp-hr).



Reference:

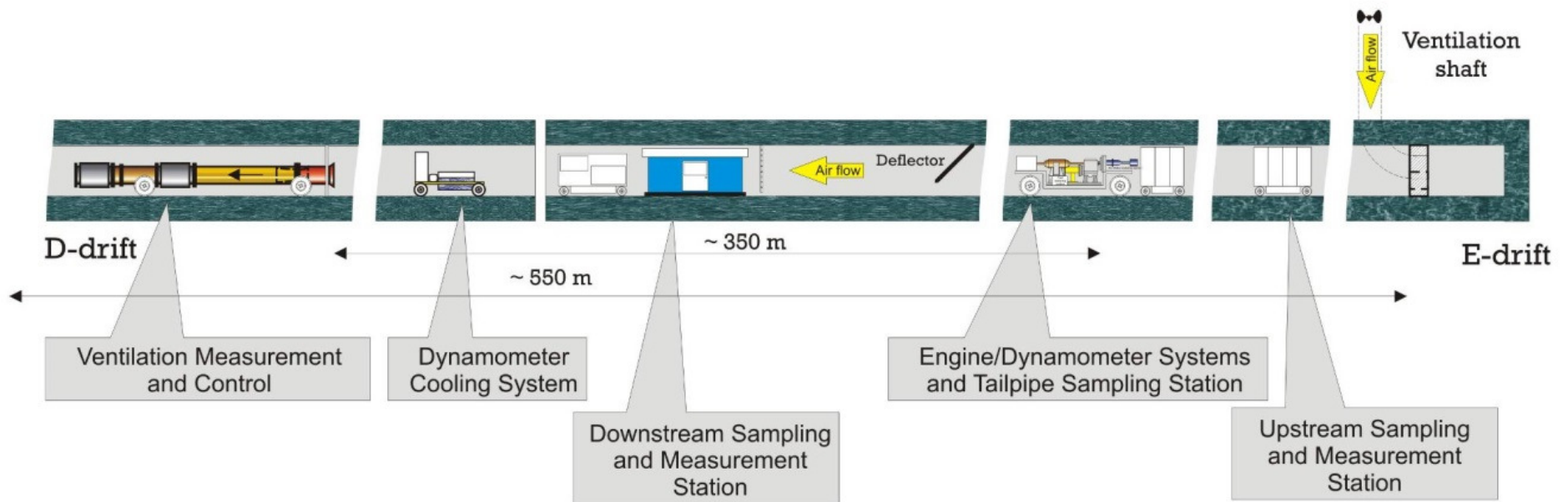
- 66 Fed. Reg. 5001 [2001] Environmental Protection Agency: 40 CFR Parts 69, 80, and 86. Clean diesel trucks, buses, and fuel: heavy-duty engine and vehicle standards and highway diesel fuel sulfur control requirements; final rule. Code of Federal Regulations. Washington, DC: U.S. Government Printing Office, Office of the Federal Register.

However, the majority of the engines in MSHA approved permissible diesel-powered packages do not even meet EPA Tier 2 PM standard (PM = 0.20 g/kW-hr / 0.15 g/hp-hr).

MSHA Approval Number	Make and Model, kW (hp) @ rpm	DPM [g/kW-hr / g/hp-hr]	DPM [g/hr]
07-EPA040001	Cummins C8.3, 138 (185) @ 2200	0.24 / 0.18	23.08
07-EPA060001	Caterpillar 3126B HEUI, 168 (225) @ 2500	0.26 / 0.19	34.10
07-EPA080001	Deutz BF4M1013FC, 112 (150) @ 2200	0.10 / 0.07	7.58
07-EPA110001	Cummins 6CTAA 8.3, 172 (230) @ 2200	0.18 / 0.13	15.26
07-EPA120001	Cummins 6CTAA 8.3, 138 (185) @ 2200	0.20 / 0.15	12.35
07-EPA140001	Cummins 6CTAA 8.3, 123 (165) @ 2200	0.34 / 0.25	21.72
7E-A001	Deutz MWM 916, 70 (94) @ 2300	0.68 / 0.50	25.49
7E-A002	Caterpillar 3306 PCNA, 112 (150) @ 2200	0.72 / 0.53	45.88
7E-A003	Caterpillar 3304 PCNA, 75 (100) @ 2200	0.69 / 0.51	29.74
7E-A005	Caterpillar 3306 PCTA, 142 (190) @ 2200	0.58 / 0.43	52.68

DFE technology currently used in underground mines had space for improvement.

- Results of the experimental mine evaluation of two popular types of high-temperature DFEs were used to demonstrate some of those issues.
- The experimental work was done in the D-drift of the NIOSH Lake Lynn Experimental Mine.



The effects of DFE on size distributions and concentrations were discussed using results of measurements performed at upstream and downstream stations

- TSI Scanning Mobility Particle Sizer (Model 3936),
- Dekati Electrical Low Pressure Impactor (ELPI DAS 3100), and
- Thermo Tapered Element Oscillating Microbalance (TEOM 1400a).



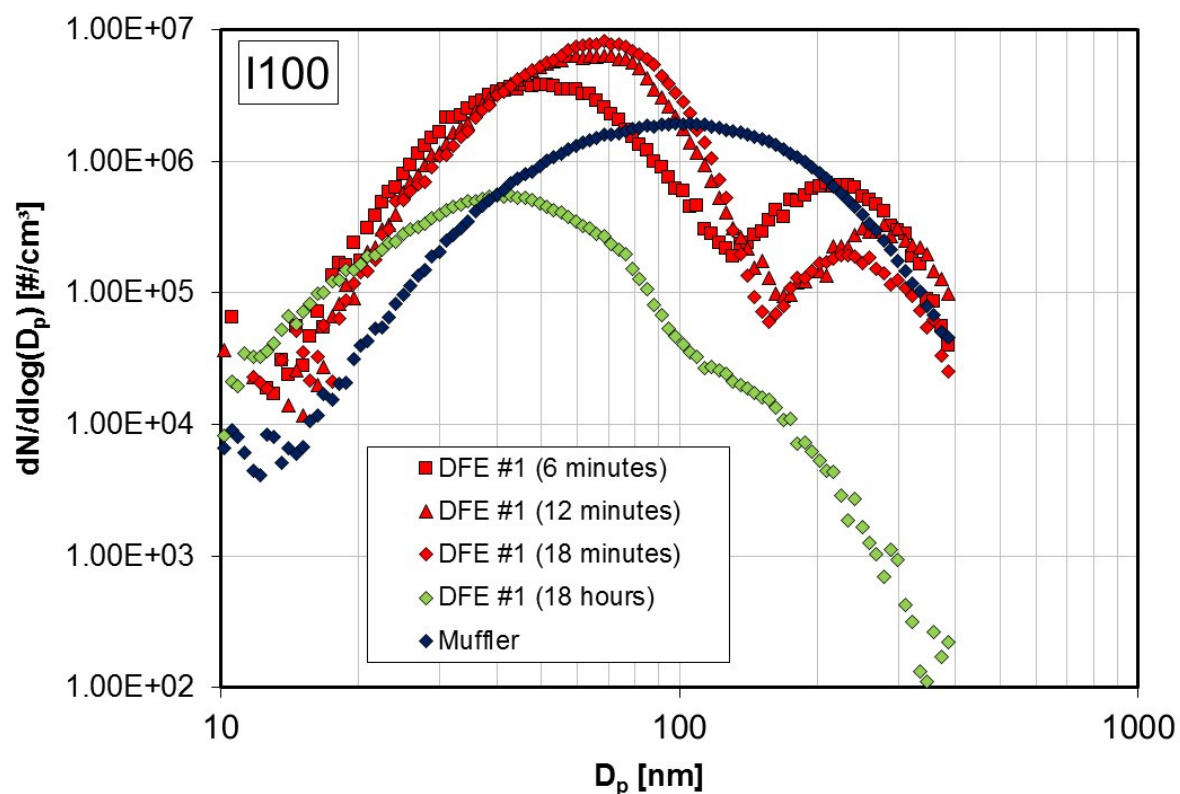
Experimental Setup

- The DFEs were tested using Isuzu C240 engine (rated at 41.8 kW/56.0 hp) @ 3000 rpm) coupled to 150 kW eddy current dynamometer.
- Engine was operated over four steady state operating conditions: R50, R100, I50, and I100.



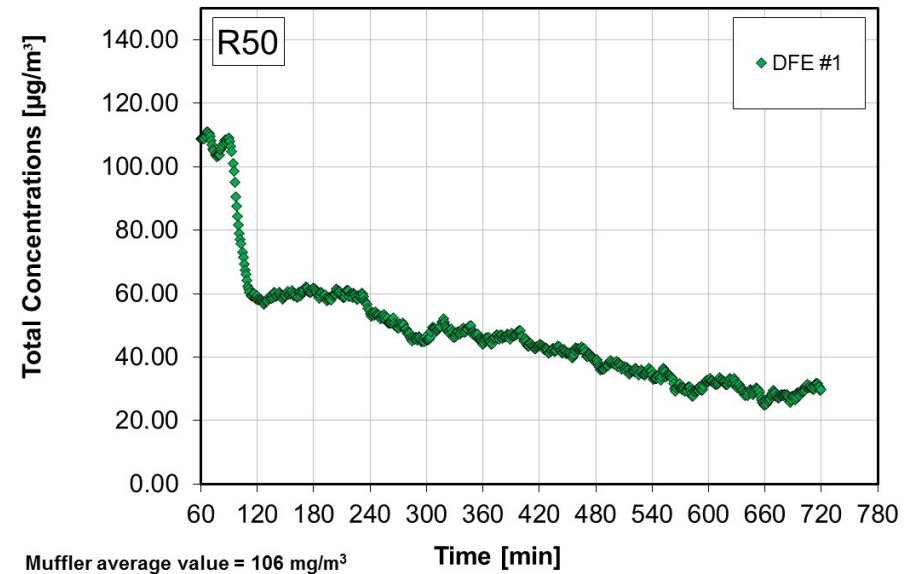
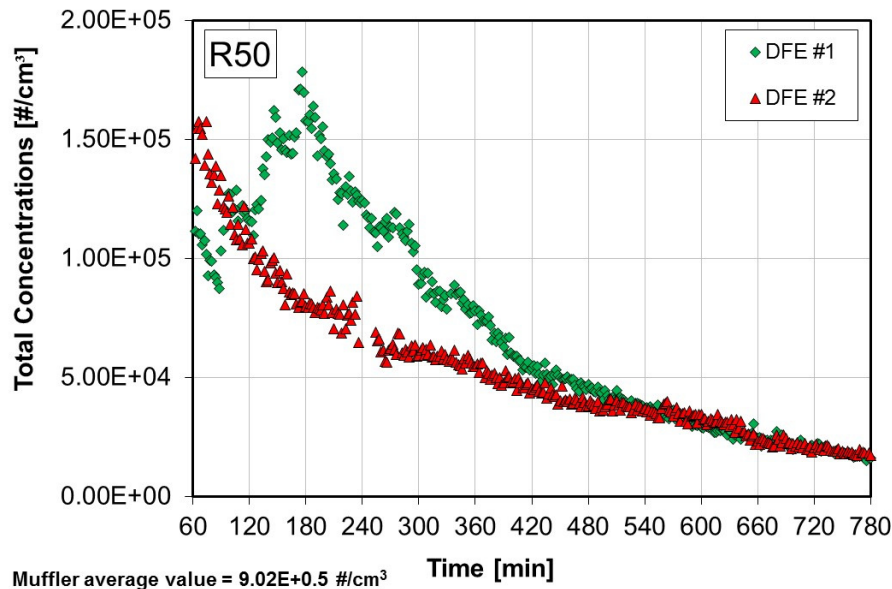
Observation 1: During an off-gassing process, the filter media used in DFEs give off aerosols.

- Breakdown of the paper and synthetic filter material cause the production of secondary emissions of various compounds and aerosols.



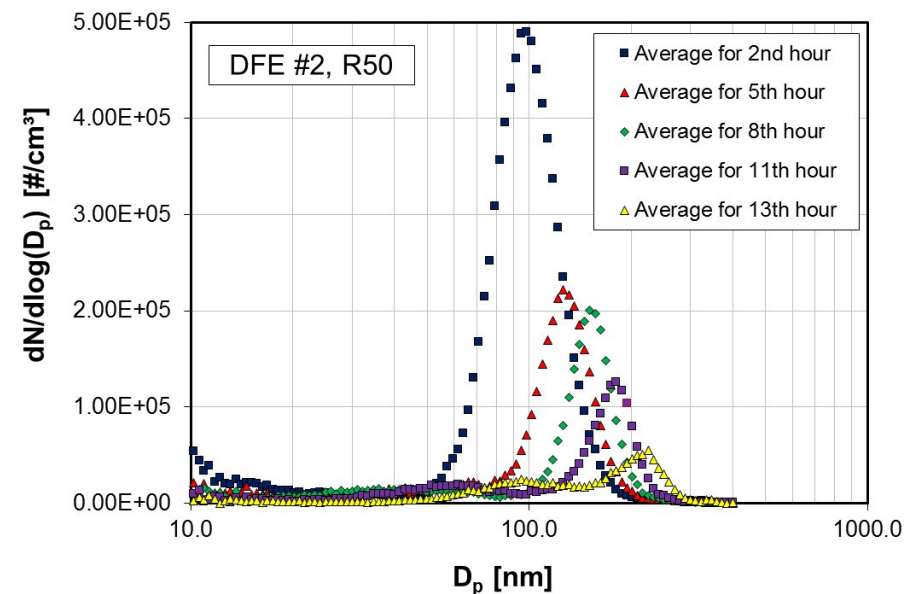
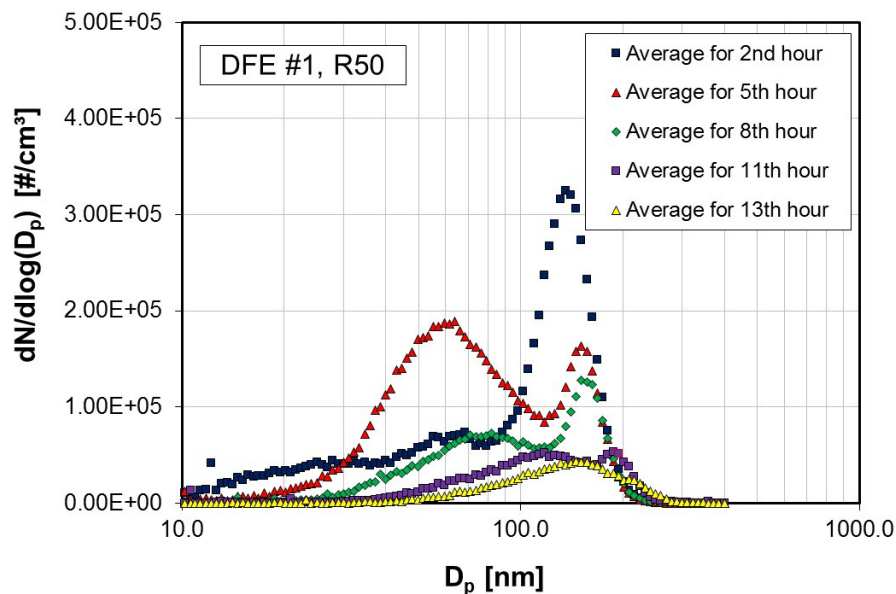
Observation 2: It might take couple hours before some of currently used DFEs reach their terminal efficiency.

- The number (SMPS) and mass (TEOM) concentrations of aerosols in mine air decreased with test time and accumulation of DPM in the media.



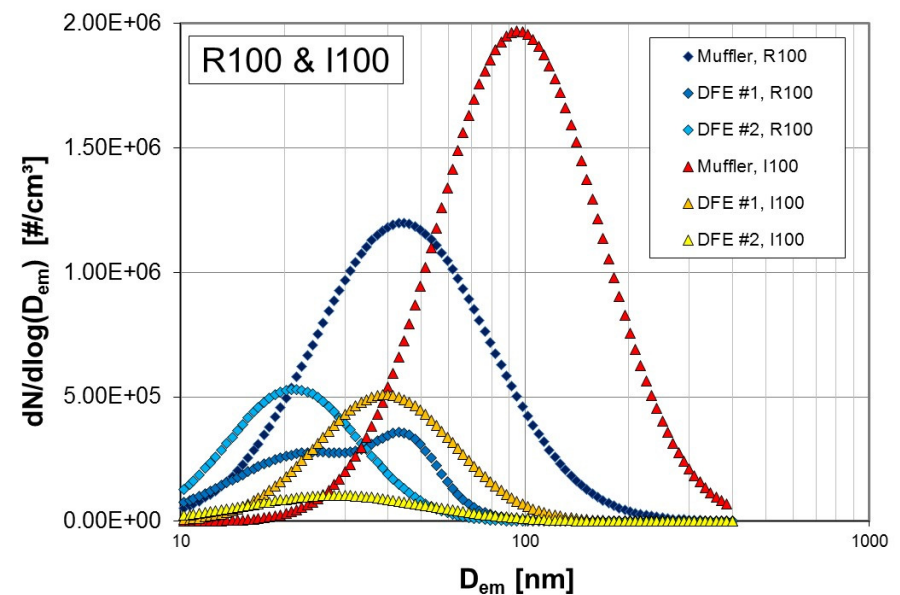
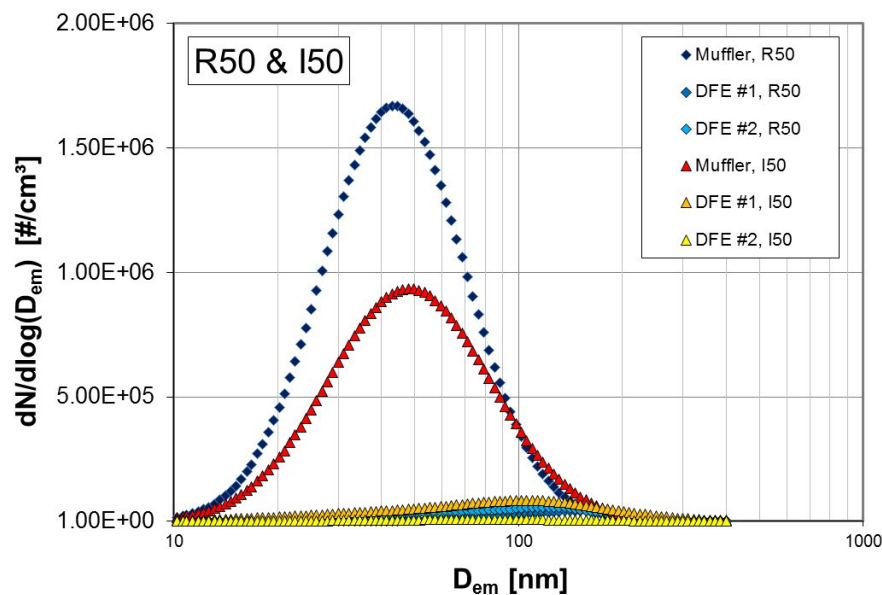
Observation 3: Size distributions and number concentrations of aerosols emitted out of DFEs gradually changed during life of filter.

- With accumulation of DPM in the filters, the concentrations of aerosols in mine air decreased and geometric mean of aerosols increased.



Observation 4: Size distributions and number concentrations of aerosols emitted out of DFEs depend on engine operating conditions /exhaust temperature

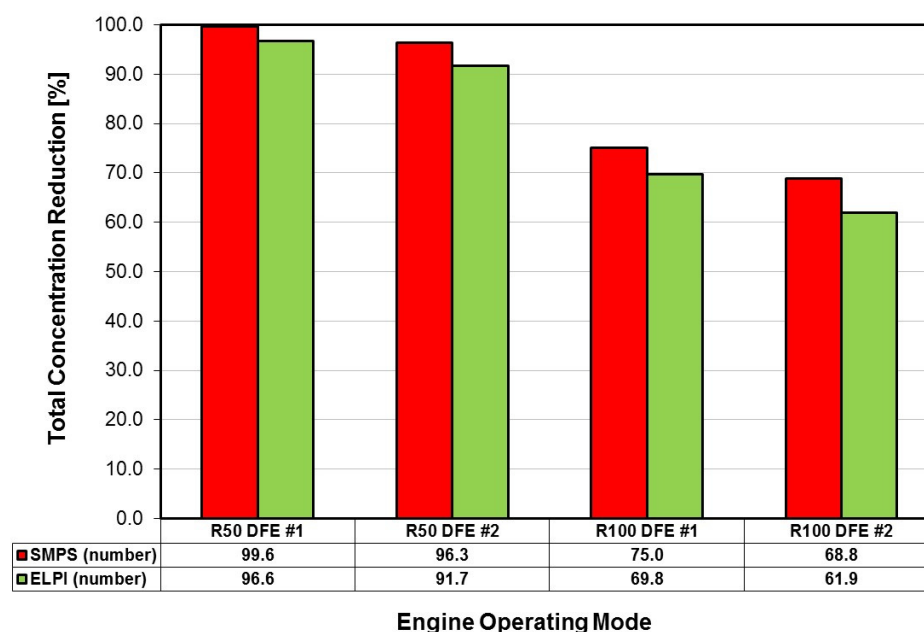
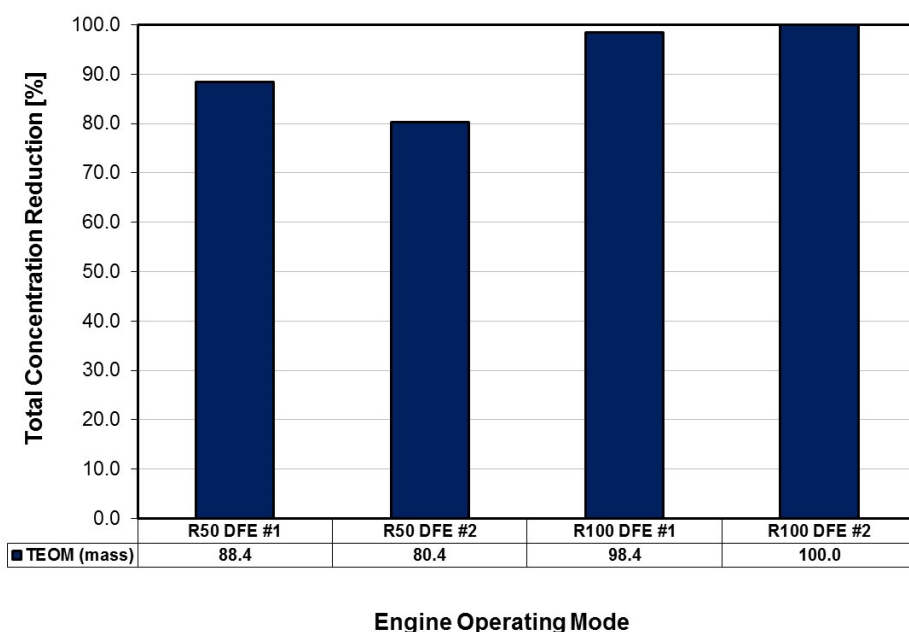
- For R50 and I50, aerosols emitted by DFEs were distributed exclusively in accumulation mode.
- For R100 and I100, relatively large concentrations of aerosols were found in nucleation mode.



Mode	Exhaust Temperature at Inlet to DFEs	Temperature at Outlet from DFEs
	°C	°C
R50	203	154
R100	328	238
I50	157	120
I100	313	230

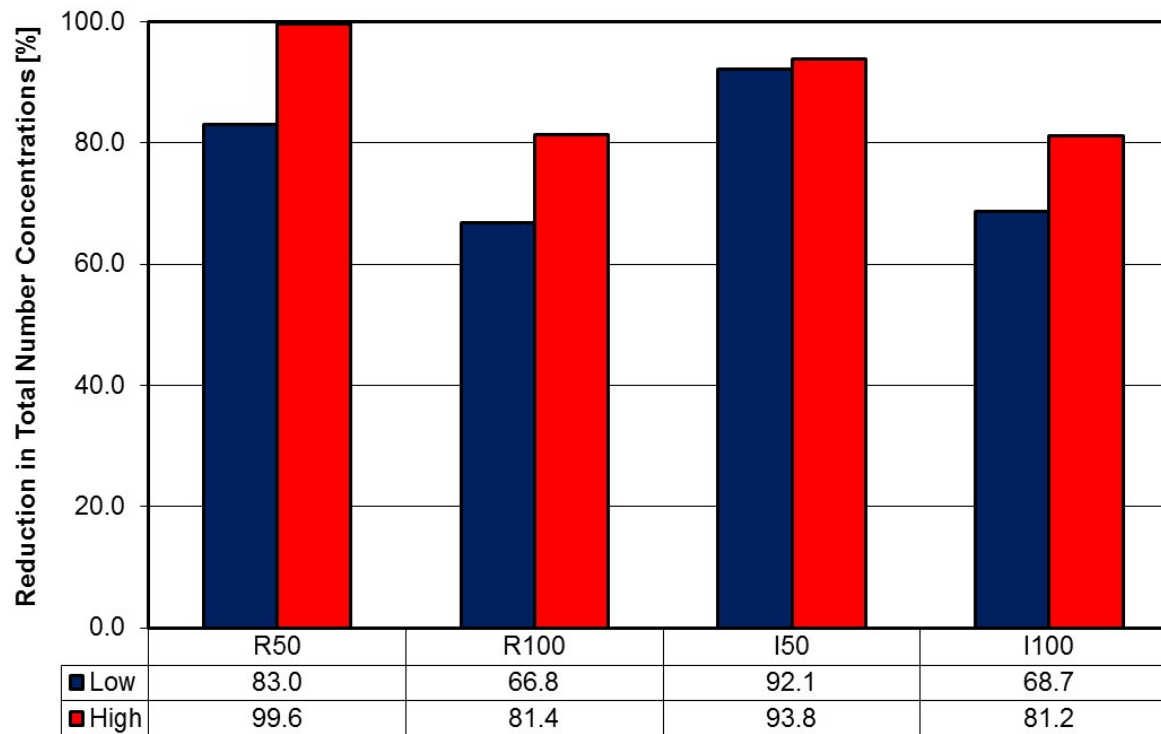
Observation 5: After 12 hours in operations, tested filters were found to be relatively effective in reducing particulate mass and number.

- For R100, I50, and I100, tested DFEs reduced aerosol mass concentrations modes by more than 95% (TEOM).
- For R50, the reductions in aerosol mass concentrations were above 80% (TEOM).
- For R50 and I50, tested DFEs reduced aerosol number concentrations modes by more than 93% (SMPS) and 84% (ELPI).
- For R100, the reductions in aerosol number concentrations were 69% (SMPS) and 62% (ELPI).



Observation 6: The calculated efficiencies differ substantially as a function of use of different subsets of data collected during the same test.

- Data collected during 2-hour test were divided in 20-minute subsets and averages were compared.
- The data demonstrate importance of establishing test and data processing protocols.

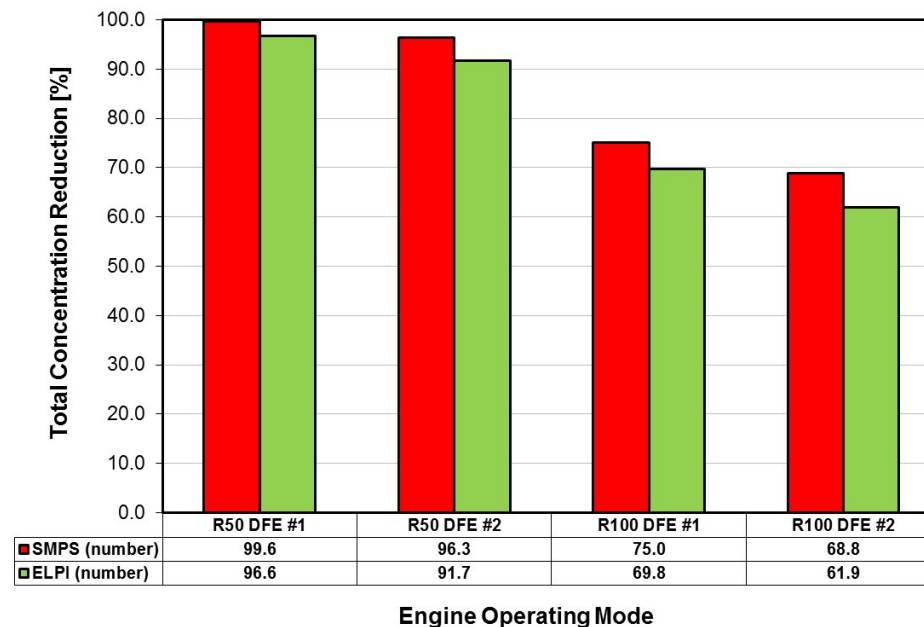


Engine Operating Mode



Observation 7: Efficiency of DFEs depended on engine operating conditions.

- Due to effects of exhaust temperatures on formation and transformation of aerosols, the efficiencies in removal of aerosols were substantially different between test modes.



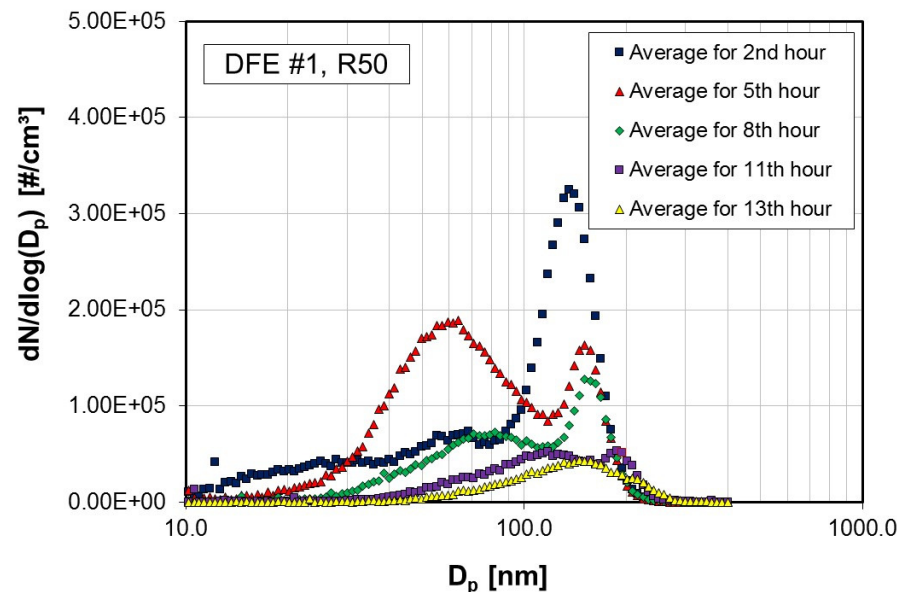
Observation 8: Some of DFEs are replaced at their prime.

- The life of DFE depends primarily on exhaust flow rate and emissions.
- DFEs are replaced:
 - at the point when engine backpressure exceeds engine manufacturer recommended maximum engine backpressure;
 - every shift.

MSHA Approval Number	Make and Model, kW (hp) @ rpm	Max. Engine Backpressure [in H ₂ O/mbar]
07-EPA040001	Cummins C8.3, 138 (185) @ 2200	41/102
07-EPA060001	Caterpillar 3126B HEUI, 168 (225) @ 2500	80/199
07-EPA080001	Deutz BF4M1013FC, 112 (150) @ 2200	60/149
07-EPA110001	Cummins 6CTAA 8.3, 172 (230) @ 2200	60/149
07-EPA120001	Cummins 6CTAA 8.3, 138 (185) @ 2200	60/149
07-EPA140001	Cummins 6CTAA 8.3, 123 (165) @ 2200	60/149
7E-A001	Deutz MWM 916, 70 (94) @ 2300	40/100
7E-A002	Caterpillar 3306 PCNA, 112 (150) @ 2200	34/85
7E-A003	Caterpillar 3304 PCNA, 75 (100) @ 2200	34/85
7E-A005	Caterpillar 3306 PCTA, 142 (190) @ 2200	27/67

Observation 9: Alternative/additional metric might be needed to adequately assess the efficiency of DFE elements.

- Method currently used for assessing DFEs is solely based on particulate mass measurements.
- The data indicate that alternative/additional metric, most probably number of particles, would greatly improve quality of the evaluation process.



In Summary

- Engines in heavy-duty permissible and non-permissible underground mining power packages are identified as a potentially major contributors to exposure of underground miners to diesel aerosols.
- Filtration systems with disposable filter elements have a proven record as a primary mean of controlling particulate emissions from permissible and non-permissible engines.
- However, improvements in engines, DFE technology, and testing protocols are needed to further reduce health impact associated with operation of diesel engines in underground mines.



Questions???

Aleksandar Bugarski
abugarski@cdc.gov
+1.412.386.5912

The findings and conclusion of this publication have not been formally disseminated by the National Institute for Occupational Safety and Health and should not be constituted to represent any agency determination or policy.

Mention of any company or product does not constitute endorsement by NIOSH.