

20th ETH Conference on Combustion Generated Nanoparticles

June 13th – 16th, 2016

ETH Zurich, Switzerland

Section 6B: Health Session

Dosimetry, Dose-metrics, Bioprocessing and Human Risk Extrapolation

Günter Oberdörster
University of Rochester

and

Uschi Graham
University of Kentucky

15 June 2016



REACTIVITY OF ULTRAFINE PARTICLES

High specific surface area: m²/g

**Surface Reactivity as Dose-Metric,
e.g., ROS inducing potential to determine response per unit particle surface area**

DTT (*dithiothreitol*) **assay**

DCFH-DA (*2'-7' dichlorofluorescein-diacetate*) **assay**

FRAS (*ferric reducing ability of serum*) **assay**

Vit C assay

ESR

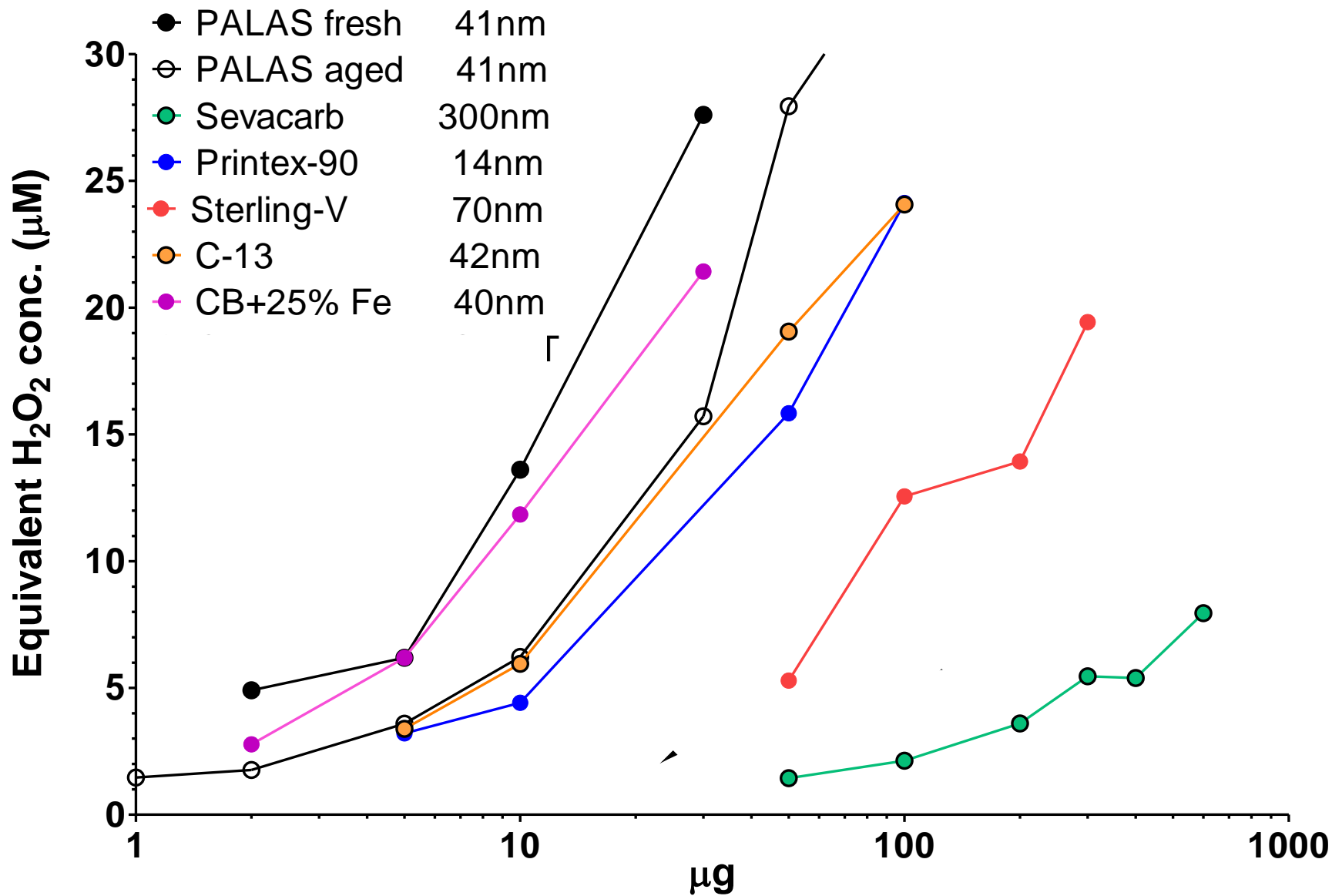
others...

*as screening tool for categorization of UFPs based on reactivity
in cellular or cell free assays for Hazard Ranking*

[Bello et al., 2009; Rushton et al., 2010]

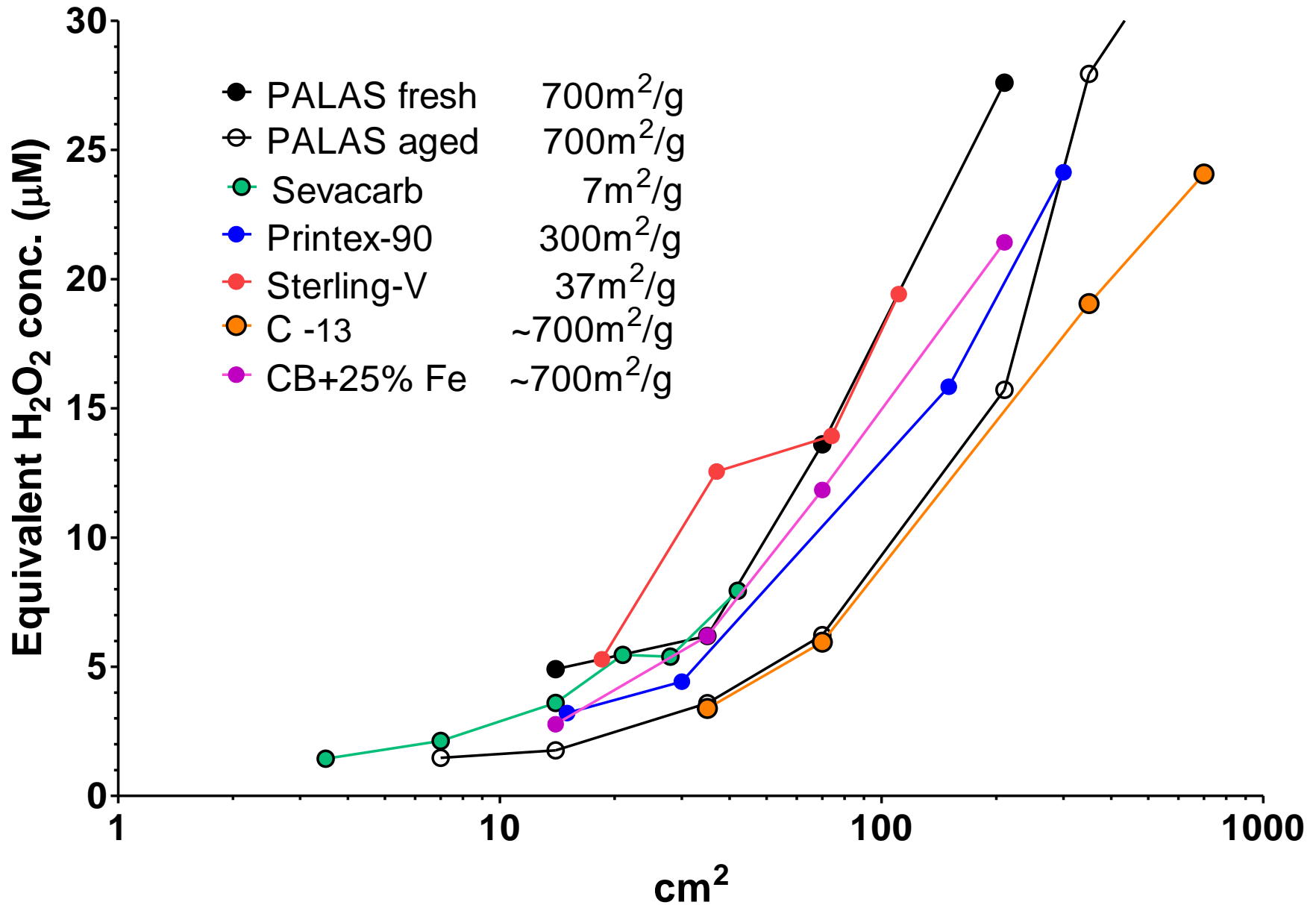
Noncellular Nanoparticle ROS Summary *(Carbon Particles)*

Particle Mass Correlation



Noncellular ROS Summary *(Carbon Particles)*

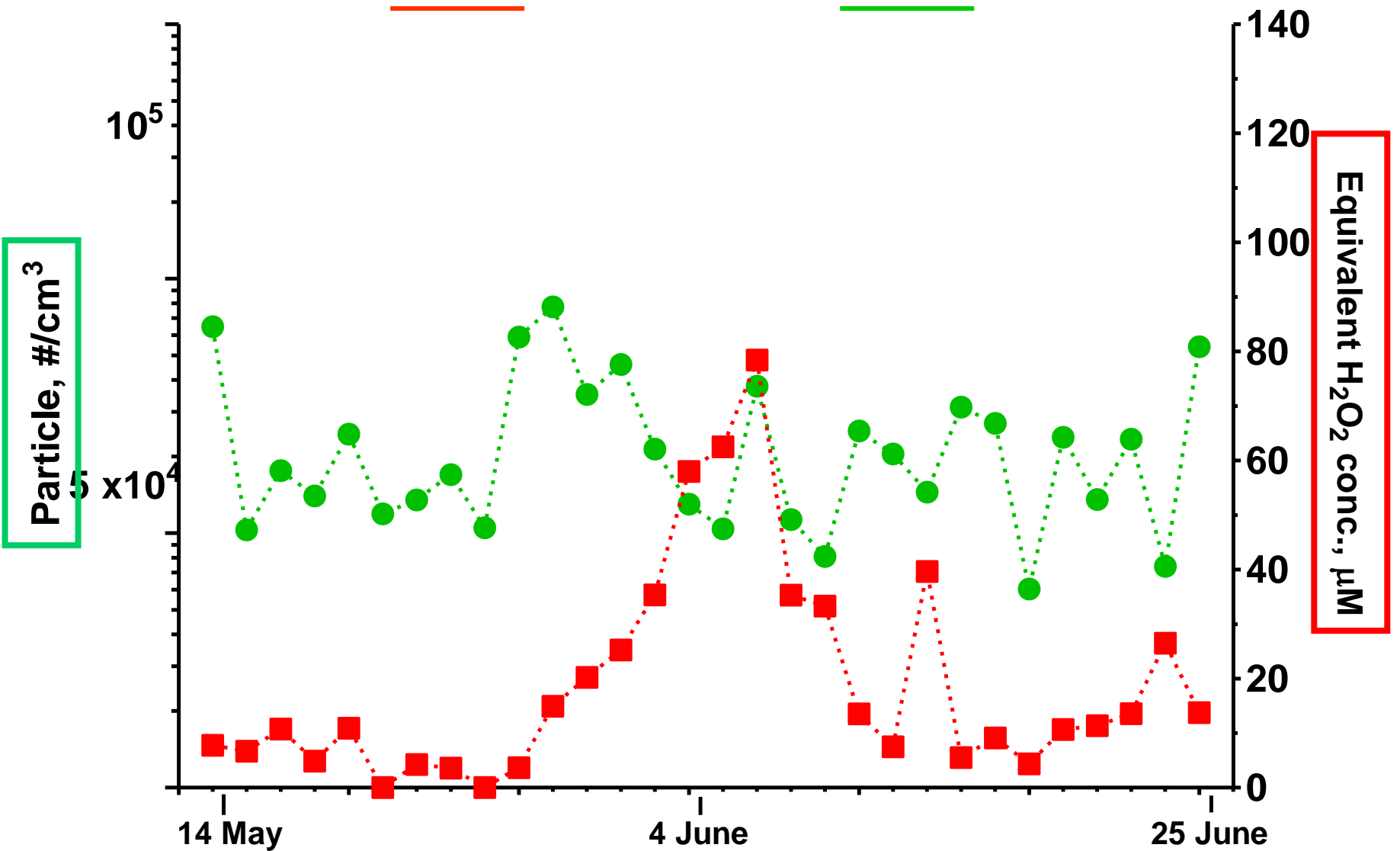
Particle Surface Area Correlation



Animal Studies: May 14 - June 25, 2008

■ ROS Outside - BKG corrected

● Outside Air conc.



CONCLUSIONS, UF PARTICLE BOUND ROS (oxidative potential)

- **ROS activity/m³ of ambient UFP can vary widely**
- **ROS activity of lab-generated nanoparticles seems to reasonably well predict acute in vivo responses**
- **ROS activity of ambient UFP does not necessarily parallel their number or mass concentration**
- **Do results of epidemiological studies indicate association between UFP reactivity and effects at organ of entry or secondary organs?**

Challenges re UFP Standard:

Physico-chemical properties of UFP are different
from different sources:

Elemental carbon
Organic carbon compounds
Inorganics (metals)
Agglomeration/aggregation
Surface properties
Solubility
Volatility

} influence Toxicity

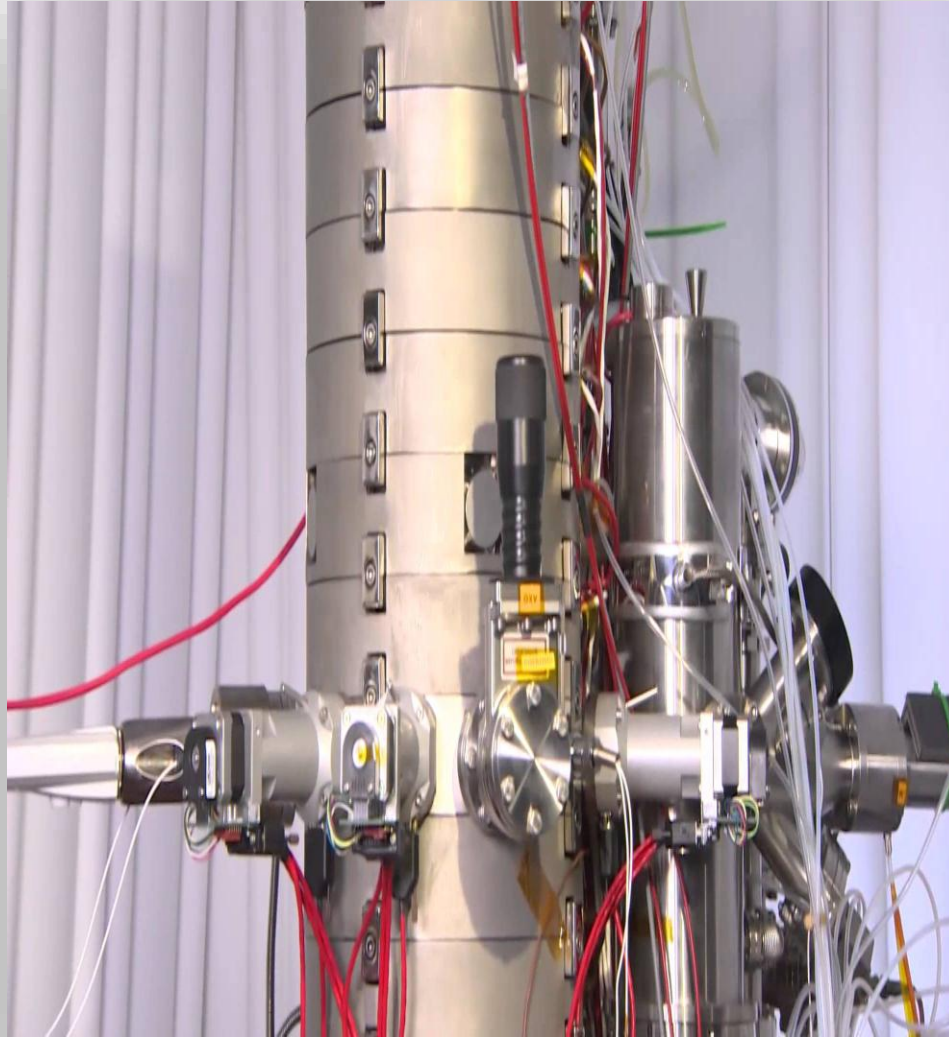
UFP source A \neq UFP source B \Rightarrow different biol./toxicol. effects

Proposed Concept for Standard

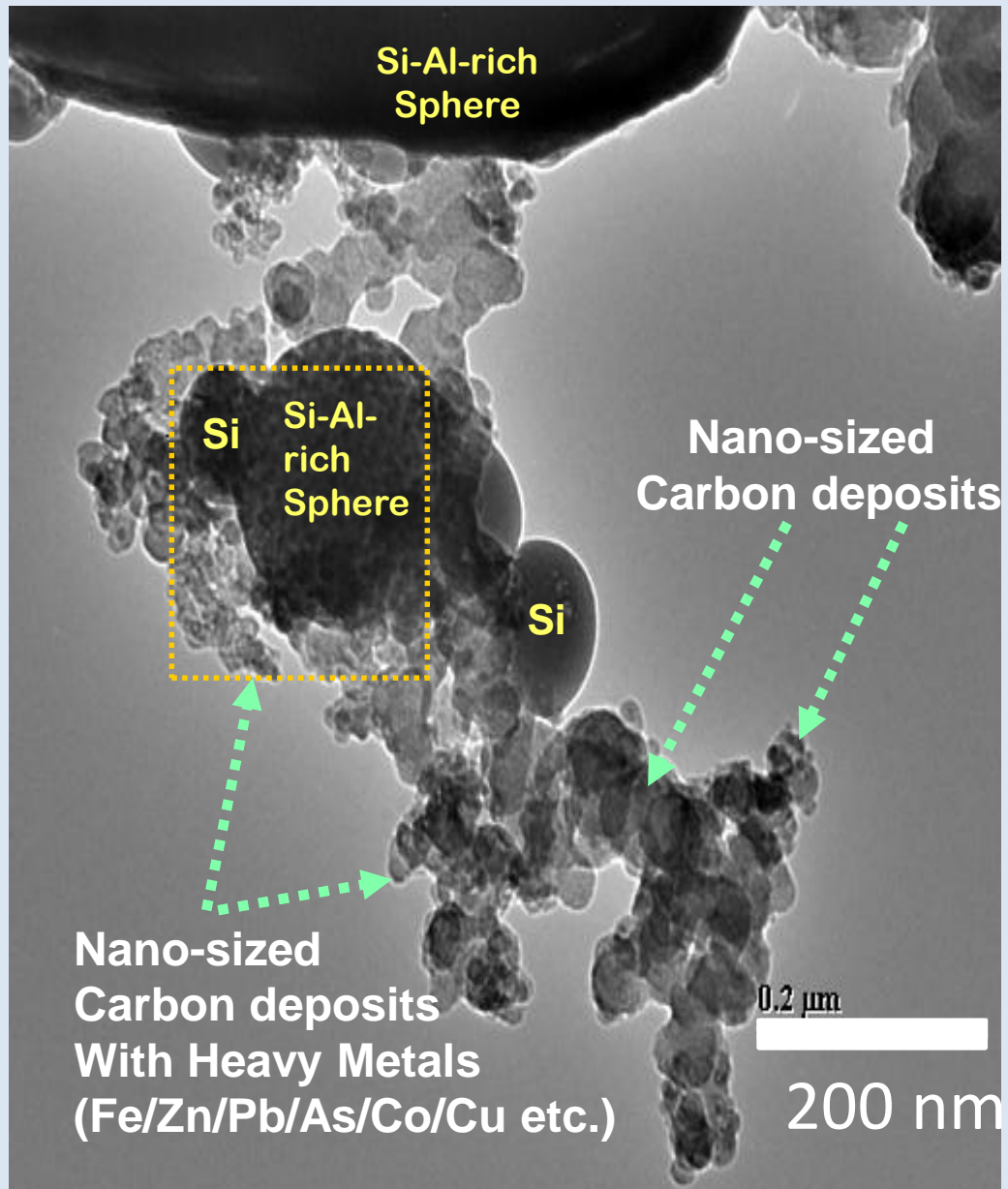
- **UFP standard should best be source-specific**
- **Based on number concentration of emitted UFP**
- **Need to identify sources that emit most reactive UFP**
- **Regulate these sources (*rather than all UFP*) by introducing a number emission standard, based on UFP risk assessment**

What is surface reactivity of Coal/Biomass Combustion Generated Nanoparticles?

**What is surface reactivity of
Coal/Biomass Combustion Generated Nanoparticles?
*Chem. Characterization by HR-TEM/STEM/EELS***



Coal Combustion Ash



Case study with inhaled Nano SiO₂NPs:

Exposure-Dose-Response Inhalation Study
in Rats to determine No Effect Level

Case study with inhaled Nano SiO₂NPs:

Exposure-Dose-Response Inhalation Study
in Rats to determine No Effect Level



Dosimetric extrapolation modeling to assess human risk

Silica/SiO₂ Starting Materials

TEM:
Exposure SiO₂
Material

SiO₂-NPs
“agglomerates”

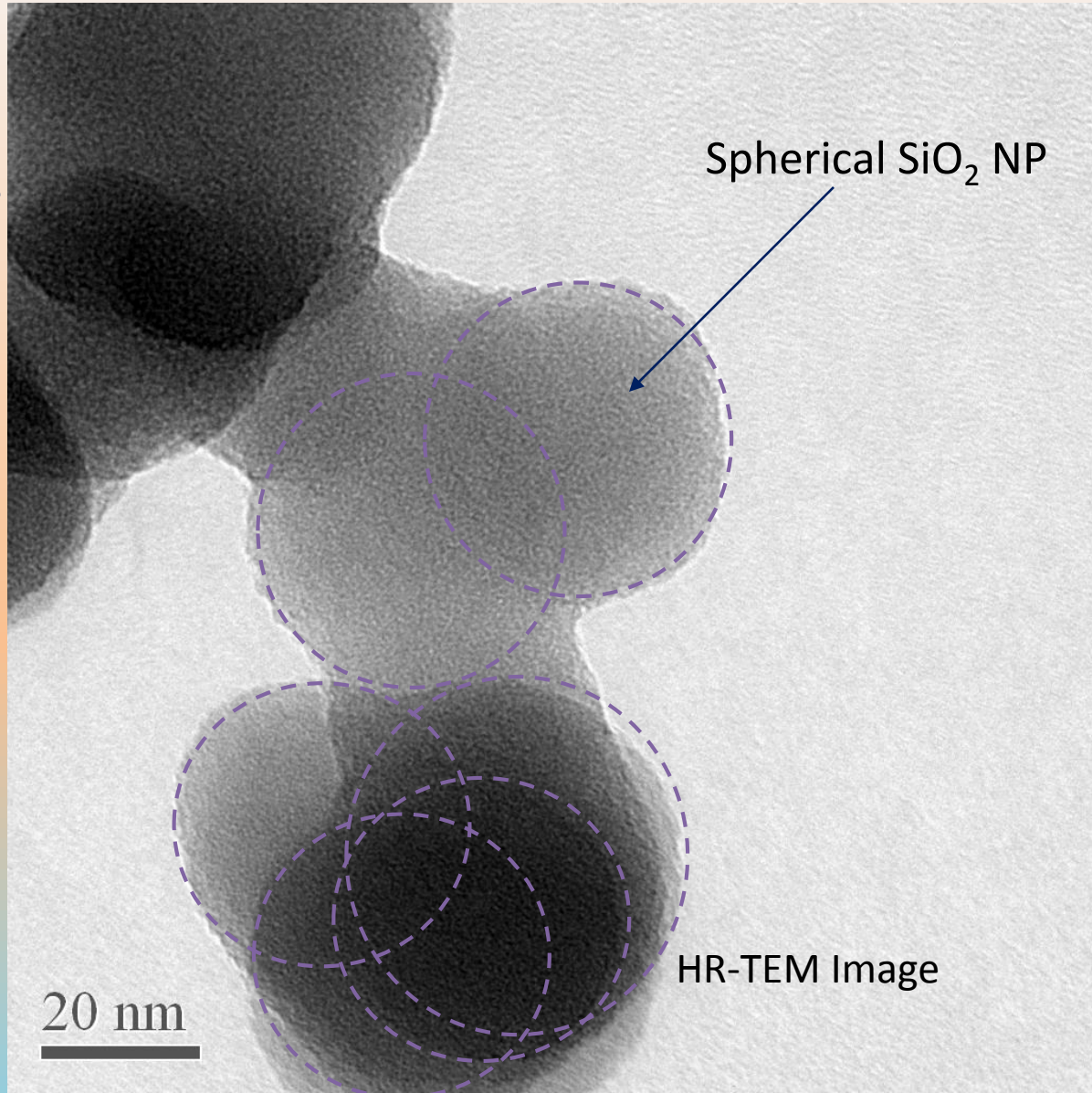
100 nm

- Majority of the NPs are spherical or semi-spherical and ~ 20-40 nm in size.
- Nanoparticles tend to form dense agglomerated aggregates.
- Nanoparticles have smooth surfaces without etching or dissolution patterns.
- Particles are not zoned or show different densities (core to surface).
- Particles are amorphous

SiO₂ Starting Nanoparticles

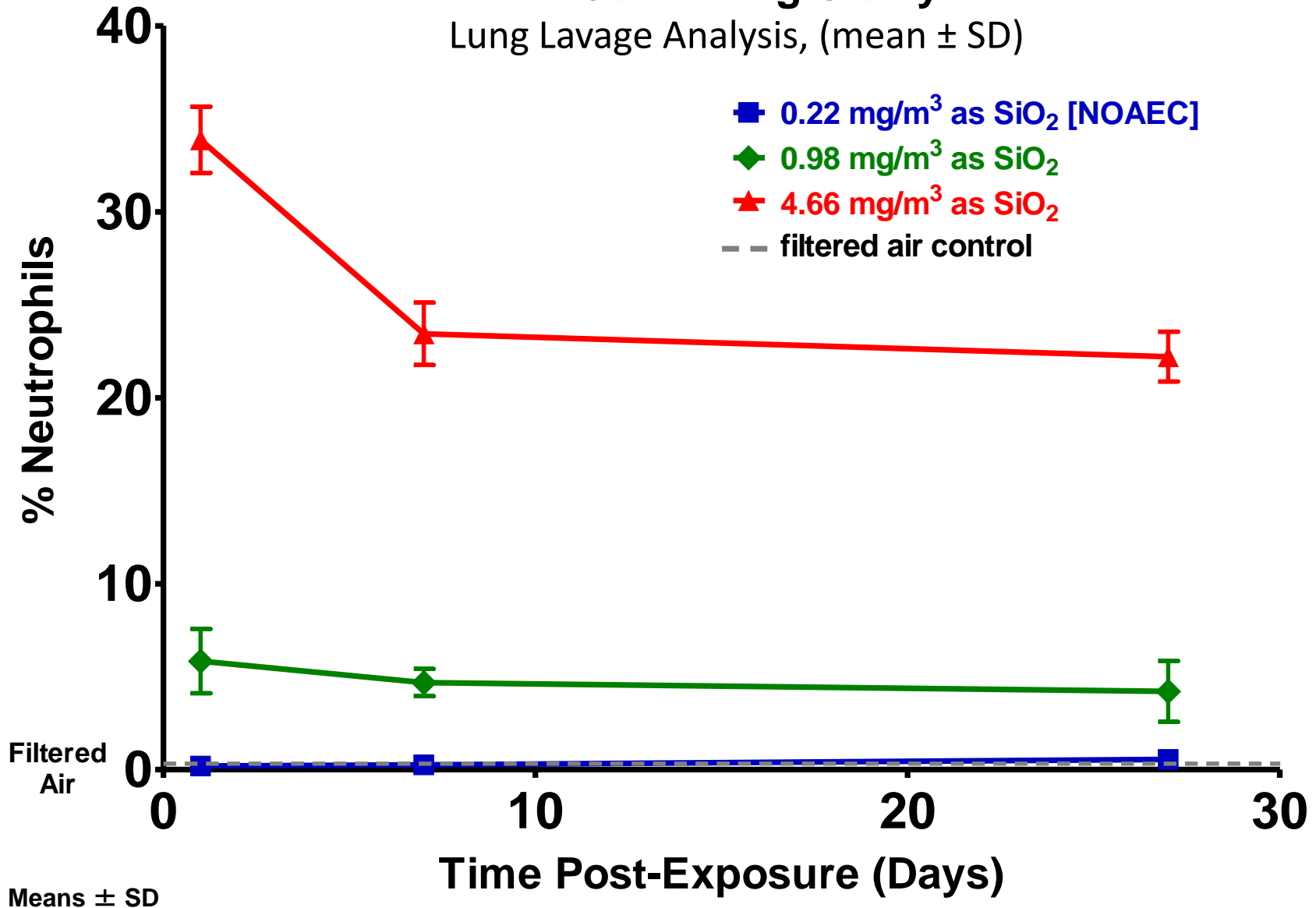
This HR-TEM shows the amorphous nature of the supplied SiO₂ NPs.

Aggregation and Agglomeration is part of NPs Formation.



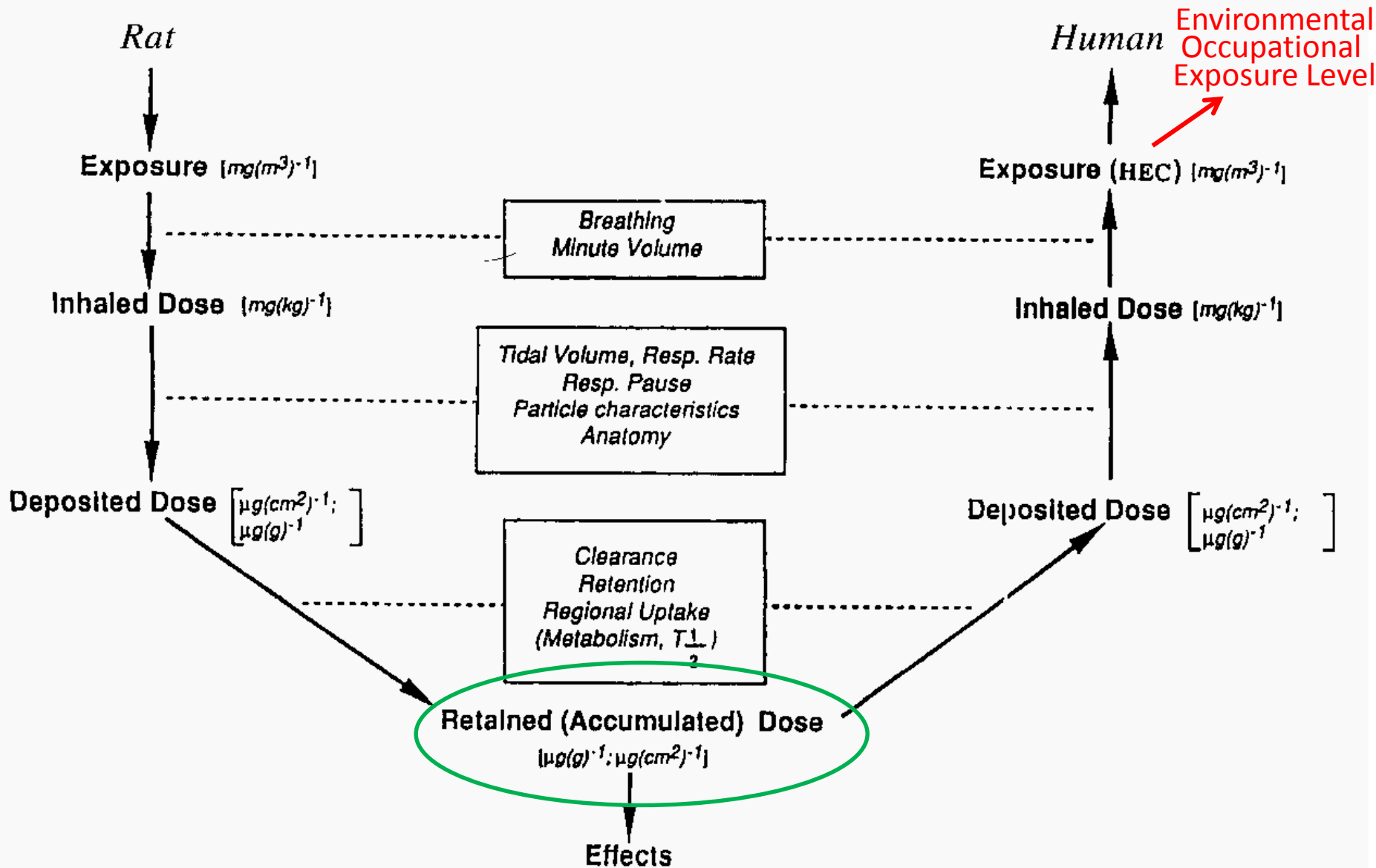
Pulmonary Inflammation in Rats After 4 Weeks of Exposure to Silica NP-Containing Slurry

Lung Lavage Analysis, (mean \pm SD)



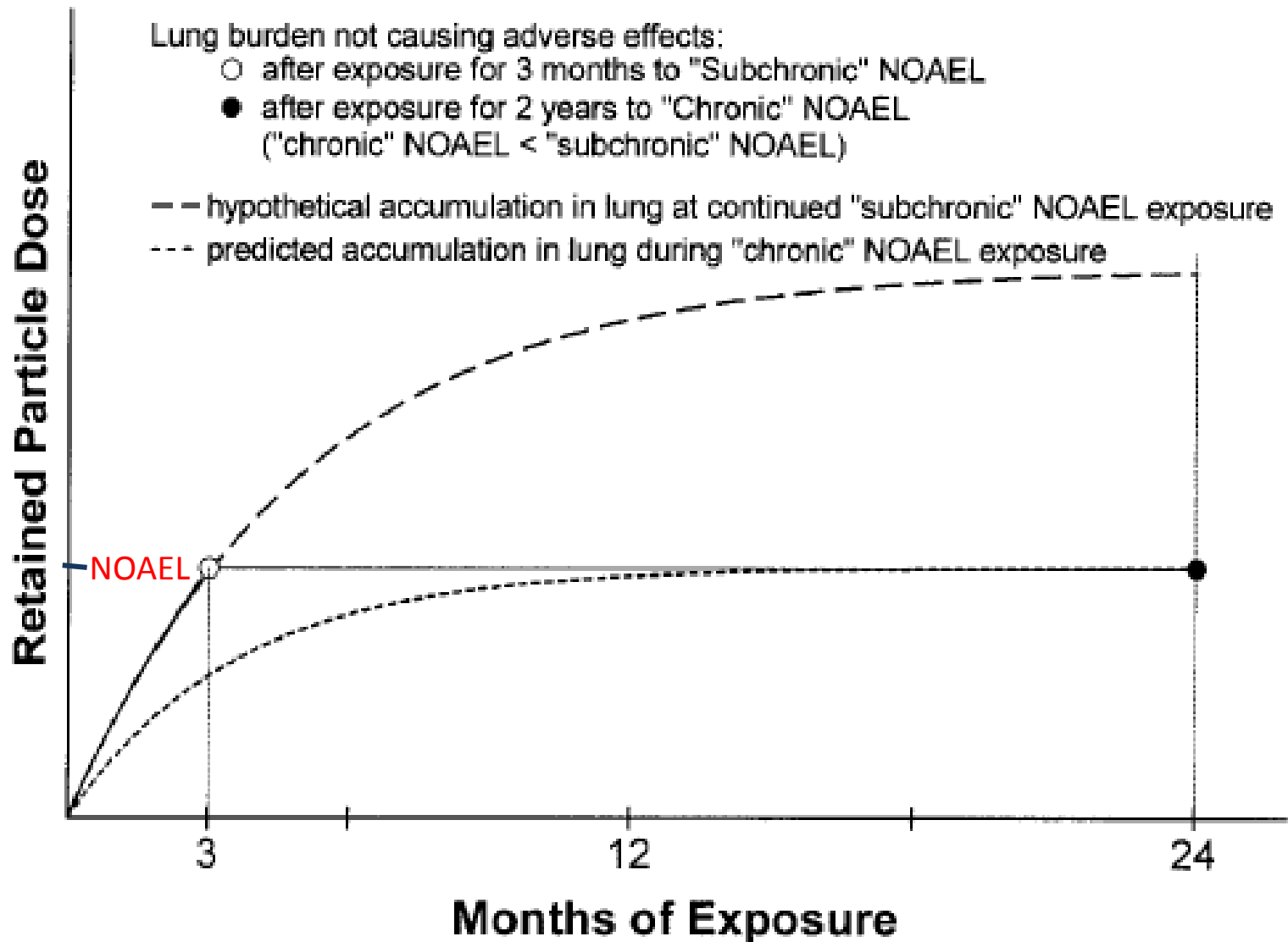
Dosimetric Extrapolation of Inhaled Particles from Rats to Humans

HEC, Human Equivalent Concentration



Assumption: *If retained dose is the same in rats and humans, then effects will be the same*

Estimation of Chronic NOAEL from Subchronic Rodent Study using MPPD Model



MPPD v3.04

File Input Data Calculations Report Results Plot Results Help Get Started



MPPD[®]

MULTIPLE-PATH PARTICLE DOSIMETRY MODEL

Airway Morphometry

Species: Human
 Rat
 Mouse
 Rhesus
 Pig
 Rabbit

Model: _____

FRC: ml

URT Volume: ml

Exposure Scenario

Acceleration of Gravity: cm/s^2

Body Orientation:
 Upright
 Leaning Forward
 Leaning Backward
 On Back
 On Stomach
 On Right Side
 On Left Side
 Upside Down

Body Orientation: α _____

Body Orientation: β _____

Body Orientation: γ _____

Aerosol Concentration: mg/m^3

Breathing Frequency: per minute

Tidal Volume: ml

Inspiratory Fraction:

Pause Fraction:

Breathing Scenario: Nasal
 Oral
 Oronasal-Mouth Breather
 Oronasal-Normal Augmenter
 Endotracheal

MPPD Model Input Choices

Particle Properties

Density: g/cm^3

Aspect Ratio: =1 for spherical

Diameter: μm

CMD MMD MMAD

Inhalability Adjustment

GSD (diam.):

GSD (length):

Correlation:

Equiv. Diam. Model

Diff. Diameter: μm

Sed. Diameter: μm

Imp. Diameter: μm

Int. Diameter: μm

Clearance Settings

Tracheal Mucous Velocity: in

Fast Human Clearance Rate: ys

Medium Human Clearance Rate: ys

Slow Human Clearance Rate: ys

Lymph Node Human Clearance Rate: ys

Rat Clearance Parameter 'a':

Rat Clearance Parameter 'b':

Rat Clearance Parameter 'c':

Rat Clearance Parameter 'd':

Lymph Node Rat Clearance Rate:

Exposure Time Settings:

Number of Hours Per Day:

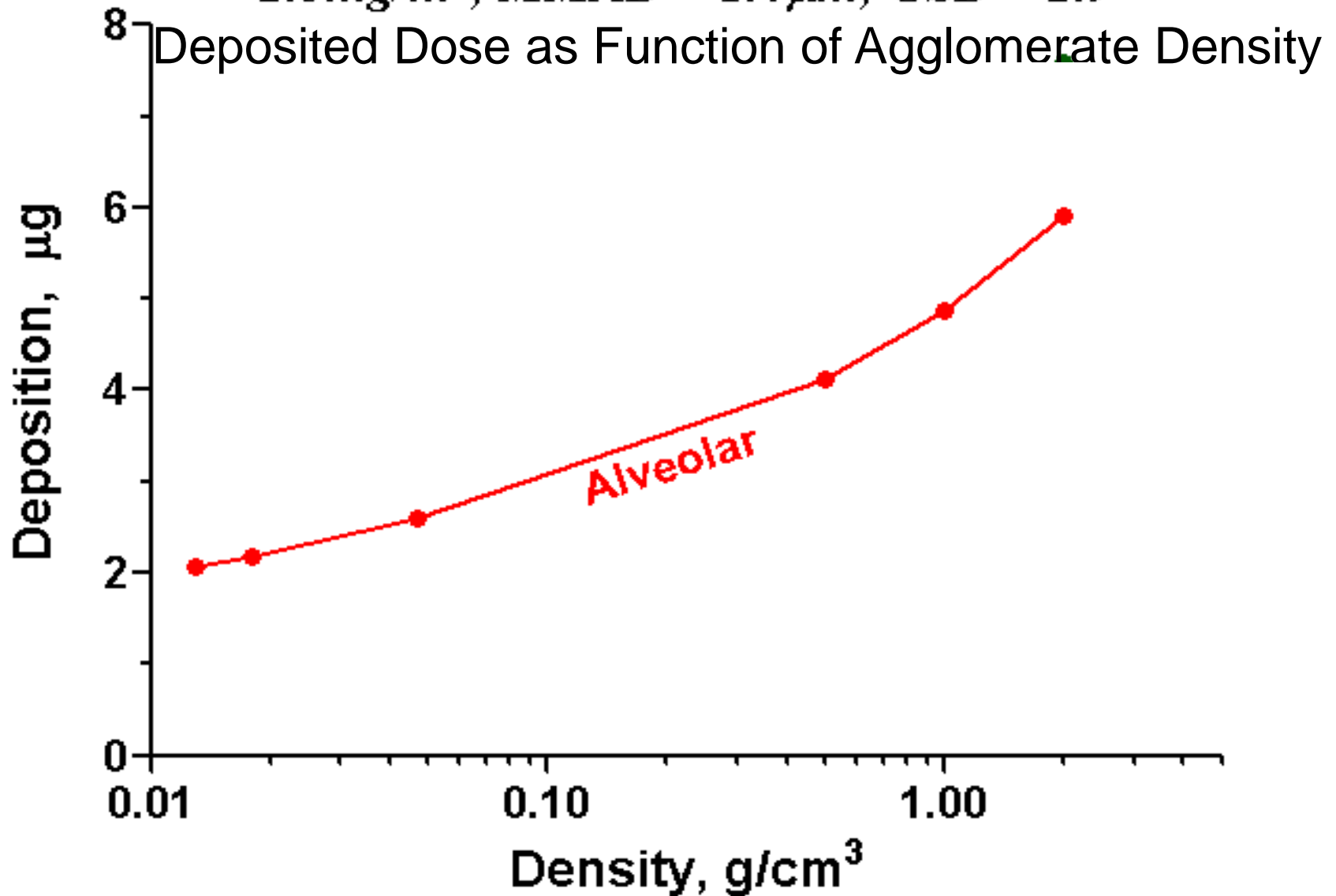
Number of Days Per Week:

Number of Weeks:

Max. Post-Exposure Days:

Impact of Aerosol Density on Lung Deposition
of Inhaled Agglomerated Particles:
MPPD Prediction, Rat, 4 hour Inhalation

2.5 mg/m³; MMAD = 1.4 μm; GSD = 2.9



Determining Aerosol Density for Input into MPPD Model

Several terms and meanings for density ($\rho = \text{mass/volume}$):
specific; material; packing, effective, relative

Deposition of airborne particles in the respiratory tract is affected by effective or actual density of aerosols

A number of suggested methods to determine aerosol density:

Charvet et al., 2014, 2015; Maricq et al., 2004, Spencer et al., 2007

Miller et al., 2013; Park et al., 2003; Hering and Stolzenburg, 1995; Wang et al., 2015

**Result of MPPD derived ρ_{eff} for SiO_2 slurry aerosols
using data of 4-hr. rat inhalation study:**

$$\rho_{\text{eff}} = 0.165 \text{ g/cm}^3$$

*Compare to SiO_2 material density of **2.65 g/cm³ !***

Determining in vivo SiO₂ dissolution

b_{tot} of biosoluble particles in the lung is the sum of mechanical (AM-mediated) removal and of dissolution:

$$b_{\text{tot}} = b_{\text{mech}} + b_{\text{diss}}$$

$$b_{\text{diss}} = b_{\text{tot}} - b_{\text{mech}}$$

Note:

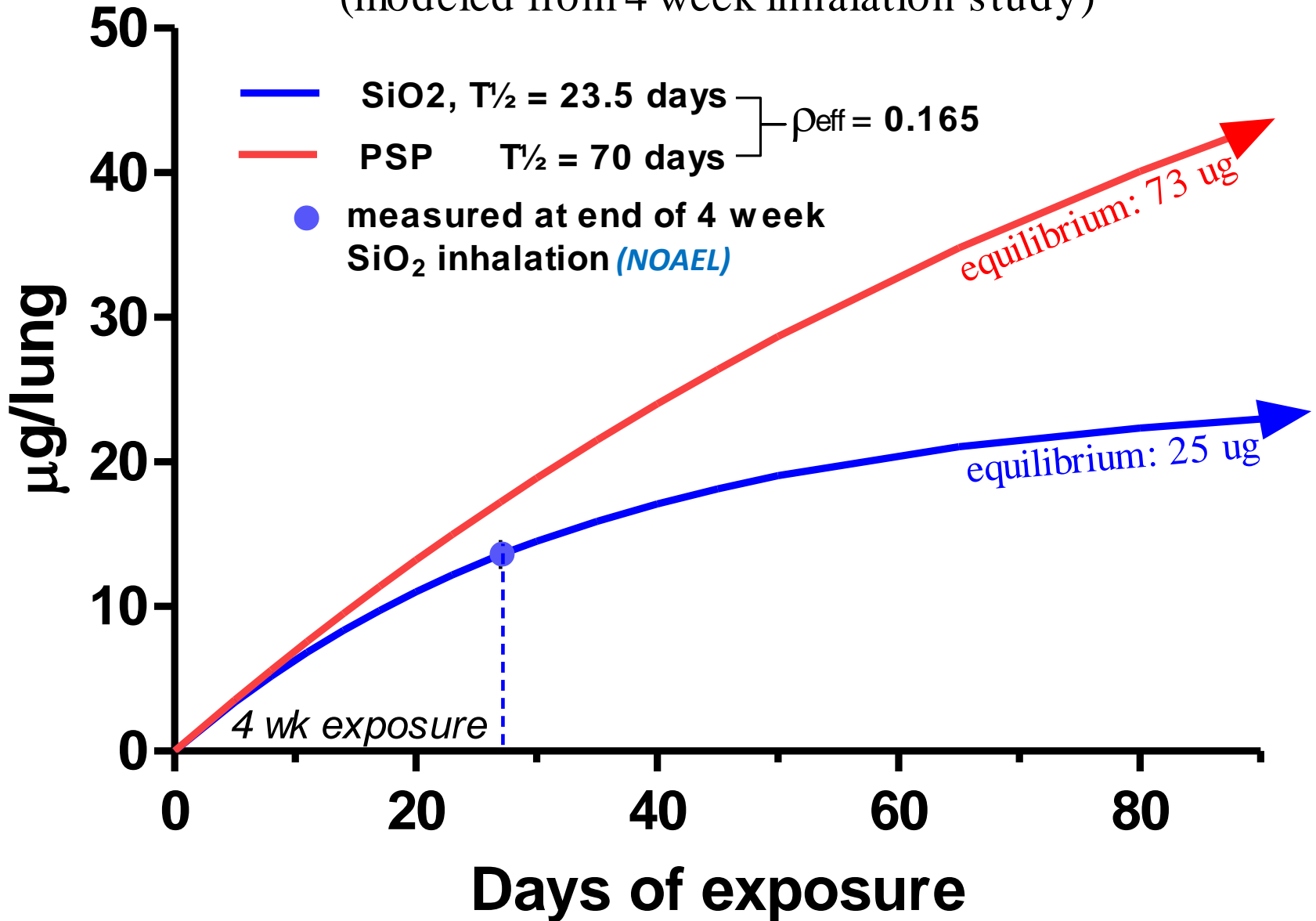
Species differences of particle clearance rates in rat and human lung:

mechanical clearance rate: very different ($T_{1/2}$ rat ~ 70 days, human ~400-700 days)

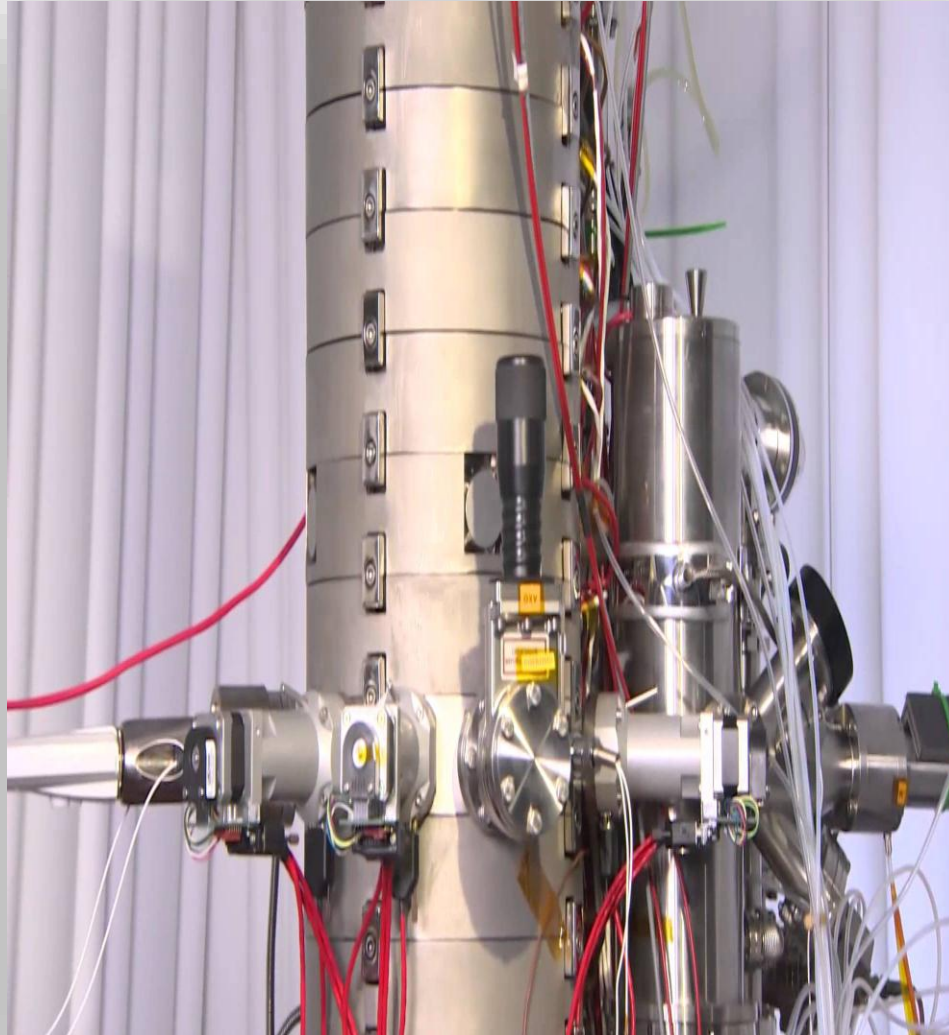
dissolution clearance rate: assumed to be the same in mammalian lungs

Accumulation in rat lungs of inhaled SiO₂ NPs vs PSPs

(modeled from 4 week inhalation study)



Verifying in vivo dissolution of SiO_2 NPs by HR-TEM/STEM/EELS analysis



Silica/SiO₂ Starting Materials

TEM:
Exposure SiO₂
Material

SiO₂-NPs
“agglomerates”

100 nm

- Majority of the NPs are spherical or semi-spherical and ~ 20-40 nm in size.
- Nanoparticles tend to form dense agglomerated aggregates.
- Nanoparticles have smooth surfaces without etching or dissolution patterns.
- Particles are not zoned or show different densities (core to surface).
- Particles are amorphous

27 Day Lung

Si-enriched

Si-enriched
(\ll NPs)

Si-Area

Si-enriched
(\ll NPs)

0.2 μm

Si-enriched

After 27 days p.E., STEM shows many areas that are enriched in Si, but the nanoparticle size is so small that at the depicted Magnification, the particles appear like clouds (outlined with the yellow lines).

The Si-enriched zones have very small nanoparticles that could be Identified to have Si, but it is not Determined whether they are SiO_2 , or Si-phosphates (see next slide).

The formation of the Si-enriched areas is a clear indication, that after 27 days, the original SiO_2 NPs have undergone at least partial in vivo processing. We observe dissolution patterns (rough surfaces, pore formation in the starting materials, edge pits with areas of high solubility) In addition we see formation of precipitates that are $\ll 2$ nm and are part of what appears as Si-clouds. The Si-nanoparticles inside clouds are well dispersed suggesting, t hat there is some in-situ mechanism that prevents particle agglomeration. More work needed to identify coronas.

HEC Calculation from 4 week rat inhalation study with SiO₂ slurry aerosol:

Environmental Exposure

Deposition in **human lung** of inhaled SiO₂ aerosol of same particle size as in rat study, predicted by MPPD model with MMAD = 0.38 μm, GSD = 2.0, ρ = 0.165:

6.3 % deposition in alveolar region, **3.75 %** in tracheo-bronchial region
environmental setting: TV 625 ml; BF 12 min⁻¹ (*resting breathing*)

Alveolar Surface Area Basis

NOAEL rat: 13.6 μg (retained lung dose at end of 4 week exposure in rats)

Normalized by alveolar surface area: **5.97 ng/cm²** surface area

Equivalent total retained dose in humans' lungs to be reached after continuous exposure:

3,787 μg

HEC to reach this lung burden over total life at

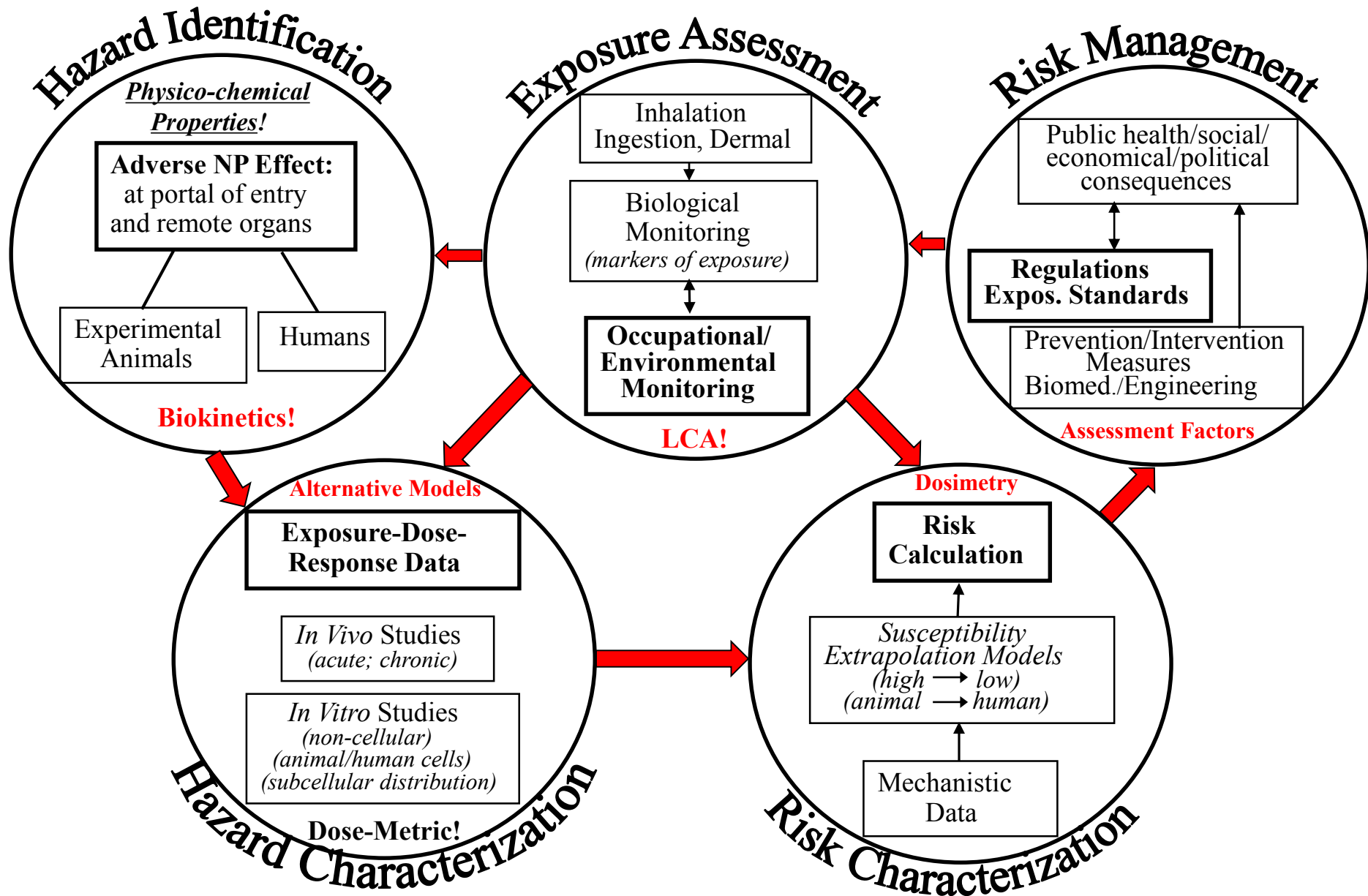
24 hours/day, 7 days/ week, resting breathing, 365 days/year:

304 μg/m³

Daily deposited dose in humans: 208 μg/day

(additional safety/assessment factors to define Reference value?)

Risk Assessment and Risk Management Paradigm For Engineered Nanoparticles (NPs)



$$\text{Risk} = f(\text{hazard}; \text{exposure})$$

